Edgar Filing: SUPERIOR INDUSTRIES INTERNATIONAL INC - Form 4

SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4 May 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

KAKAR PARVEEN

2. Issuer Name and Ticker or Trading

Symbol

SUPERIOR INDUSTRIES **INTERNATIONAL INC [SUP]**

(Middle) (Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year)

26600 TELEGRAPH ROAD, SUITE 03/06/2016 400

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner _X__ Officer (give title Other (specify

below)

Senior Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SOUTHFIELD, MI 48	3033
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(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/06/2016		M(1)	1,109	A	\$ 0	17,034	D		
Common Stock	03/08/2016		S(2)	396	D	\$ 21.69	16,638	D		
Common Stock	05/02/2016		M(5)	6,000	A	\$ 18.55	22,638	D		
Common Stock	05/02/2016		S	3,000	D	\$ 26.4557 (6)	19,638	D		
	05/02/2016		S	3,000	D		16,638	D		

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Common 26.4603 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(3)	03/06/2016		M	1,109	<u>(4)</u>	<u>(4)</u>	Common Stock	1,109
Stock Option (Right to Buy)	\$ 18.55	05/02/2016		M	6,000	<u>(5)</u>	12/12/2017	Common Stock	6,000

Reporting Owners

Reporting Owner Name / Address	Keiauonsnips					
	Director	10% Owner	Officer	Other		
KAKAR PARVEEN						
26600 TELEGRAPH ROAD			Senior Vice President			
SUITE 400			Semoi vice Fiesident			
SOUTHFIELD, MI 48033						

Signatures

/s/ Kerry A. Shiba as 05/04/2016 Attorney-in-Fact **Signature of Reporting Person Date

2 Reporting Owners

Dolotionship

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Settlement of restricted stock units into shares of common stock.
- (2) Shares sold to satisfy the minimum tax withholding requirements upon vesting of restricted stock units.
- (3) Each restricted stock unit represents a contingent right to receive one share of common stock of Superior Industries International, Inc.
- (4) The restricted stock units vest and settle in stock in three approximately equal installments on each of the first three anniversaries of the grant date.
- (5) The options vested in four equal installments on each of the first four anniversaries of the grant date.
- The price reported in Column 4 is an average price. The shares were sold in multiple transactions at prices ranging from \$26.43 to \$26.48, inclusive. The reporting person undertakes to provide to Superior Industries International, Inc., any security holder of Superior Industries International, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- The price reported in Column 4 is an average price. The shares were sold in multiple transactions at prices ranging from \$26.45 to \$26.462, inclusive. The reporting person undertakes to provide to Superior Industries International, Inc., any security holder of Superior Industries International, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.