

Edgar Filing: Home Federal Bancorp, Inc. of Louisiana - Form 4

Home Federal Bancorp, Inc. of Louisiana  
Form 4  
February 02, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Herndon Daniel R

2. Issuer Name **and** Ticker or Trading  
Symbol  
Home Federal Bancorp, Inc. of  
Louisiana [HFBL]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/31/2016

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below) Chairman and CEO

C/O HOME FEDERAL BANK, 624  
MARKET STREET

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

SHREVEPORT, LA 71101

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 01/31/2016                              |                                                             | F <sup>(1)</sup>                        | 835 D \$ 22                                                                | 85,099 <sup>(2)</sup>                                                                                              | D                                                                    |                                                                   |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                            | 4,555                                                                                                              | I                                                                    | By Spouse                                                         |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                            | 34,516.3443<br><sup>(3)</sup>                                                                                      | I                                                                    | By 401(k)<br>Plan                                                 |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                            | 8,182.304                                                                                                          | I                                                                    | By ESOP                                                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8. Pri<br>Deriv<br>Secur<br>(Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of Shares |                                    |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 14.7                                                               |                                         |                                                             |                                         |                                                                                                                       | <u>(4)</u>                                                     | 01/31/2022         | Common<br>Stock                                                     | 27,858                              |                                    |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 23                                                                 |                                         |                                                             |                                         |                                                                                                                       | <u>(5)</u>                                                     | 10/26/2025         | Common<br>Stock                                                     | 8,000                               |                                    |

## Reporting Owners

| Reporting Owner Name / Address                                                         | Relationships |           |                  |       |
|----------------------------------------------------------------------------------------|---------------|-----------|------------------|-------|
|                                                                                        | Director      | 10% Owner | Officer          | Other |
| Herndon Daniel R<br>C/O HOME FEDERAL BANK<br>624 MARKET STREET<br>SHREVEPORT, LA 71101 | X             |           | Chairman and CEO |       |

## Signatures

/s/Clyde D. Patterson, by P.O.A. for Daniel R.  
Herndon

02/02/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Disposition solely to meet tax withholding obligation for distribution from Recognition and Retention Plan.

Includes 2,335 shares held in the 2011 Recognition and Retention Plan Trust which reflect the unvested portion of a grant award originally covering 11,671 shares that commenced vesting at a rate of 20% per year on January 31, 2013, 5,000 shares granted pursuant to the 2014 Stock Incentive Plan that vest 20% per year commencing on October 26, 2016 and 62,161 shares held jointly with the reporting person's spouse.

(3) Reflects units which represent share and cash interests in the Issuer's stock fund in the 401(k) Plan. Based on a report dated February 1, 2016.

(4) The options are vesting at a rate of 20% per year commencing on January 31, 2013.

(5) The options vest at a rate of 20% per year commencing on October 26, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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