

Edgar Filing: LMP CAPITAL & INCOME FUND INC. - Form NSAR-B

LMP CAPITAL & INCOME FUND INC.

Form NSAR-B

January 26, 2017

PAGE 1  
000 B000000 11/30/2016  
000 C000000 1270131  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 LMP CAPITAL AND INCOME FUND INC.  
001 B000000 811-21467  
001 C000000 8887770102  
002 A000000 620 EIGHTH AVENUE, 49TH FLOOR  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10018  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 LEGG MASON PARTNERS FUND ADVISOR, LLC  
008 B000001 A  
008 C000001 801-66785  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10018  
008 A000002 WESTERN ASSET MANAGEMENT COMPANY  
008 B000002 S  
008 C000002 801-08162  
008 D010002 PASADENA  
008 D020002 CA  
008 D030002 91101  
008 A000003 CLEARBRIDGE INVESTMENTS, LLC  
008 B000003 S  
008 C000003 801-64710  
008 D010003 NEW YORK  
008 D020003 NY  
008 D030003 10018  
008 A000004 WESTERN ASSET MGT. CO. LTD.  
008 B000004 S  
008 C000004 801-00000  
008 D010004 LONDON  
008 D050004 ENGLAND  
008 D060004 EC2A2EN  
012 A000001 COMPUTERSHARE INC.  
012 B000001 85-11340  
012 C010001 COLLEGE STATION  
012 C020001 TX  
PAGE 2  
012 C030001 77845  
012 C040001 4770  
013 A000001 KPMG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10154

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014 A000001 Clarion Partners Securities LLC  
014 B000001 8-69204  
014 A000002 EnTrustPermal Securities LLC  
014 B000002 8-67822  
014 A000003 LEGG MASON INVESTOR SERVICES, LLC  
014 B000003 8-53089  
014 A000004 Martin Currie (Asia) Pte Limited  
014 B000004 8-00000  
014 A000005 PERMAL (HONG KONG) LIMITED  
014 B000005 8-00000  
014 A000006 PERMAL (SINGAPORE) PTE. LIMITED  
014 B000006 8-00000  
014 A000007 ROYCE FUND SERVICES, INC.  
014 B000007 8-28663  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02111  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 157  
019 C000000 LEGGMASONF  
020 A000001 BANK OF AMERICA-MERRILL LYNCH  
020 B000001 56-2058405  
020 C000001 43  
020 A000002 GOLDMAN SACHS & CO.  
020 B000002 13-5108880  
020 C000002 42  
020 A000003 JPMORGAN CHASE & CO.  
020 B000003 13-3224016  
020 C000003 19  
020 A000004 PERSHING LLC  
020 B000004 13-2741729  
020 C000004 16  
020 A000005 UBS SECURITIES LLC  
020 B000005 13-3873456  
020 C000005 15  
020 A000006 CAPITAL INSTITUTIONAL SERVICES  
020 B000006 75-1565705  
020 C000006 12  
020 A000007 CITIGROUP GLOBAL MARKETS INC.  
020 B000007 11-2418191  
020 C000007 8  
PAGE 3  
020 A000008 CREDIT SUISSE SECURITIES (USA) LLC  
020 B000008 05-0546650  
020 C000008 8  
020 A000009 BARCLAYS CAPITAL INC.  
020 B000009 06-1031656  
020 C000009 8  
020 A000010 MORGAN STANLEY  
020 B000010 13-2655998  
020 C000010 7  
021 000000 206  
022 A000001 STATE STREET BANK & TRUST CO.  
022 B000001 04-1867445  
022 C000001 57437  
022 D000001 38319  
022 A000002 BANK OF AMERICA-MERRILL LYNCH  
022 B000002 56-2058405

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| 022 | A000003 | CREDIT SUISSE SECURITIES (USA) LLC      |
| 022 | B000003 | 05-0546650                              |
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| 022 | A000004 | MITSUBISHI UFJ SECURITIES (USA) INC.    |
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| 022 | A000005 | CITIGROUP GLOBAL MARKETS INC.           |
| 022 | B000005 | 11-2418191                              |
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| 022 | D000005 | 1134                                    |
| 022 | A000006 | T. ROWE PRICE INVESTMENT SERVICES, INC. |
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| 022 | A000009 | WELLS FARGO & CO.                       |
| 022 | B000009 | 41-0449260                              |
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| 028 | D020000 | 0                                       |
| 028 | D030000 | 0                                       |
| 028 | D040000 | 0                                       |

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|     |         |       |
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| 028 | E010000 | 0     |
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| 028 | E030000 | 0     |
| 028 | E040000 | 0     |
| 028 | F010000 | 0     |
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| 028 | F030000 | 0     |
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| 028 | G010000 | 0     |
| 028 | G020000 | 0     |
| 028 | G030000 | 0     |
| 028 | G040000 | 0     |
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| 038 | 000000  | 0     |
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| 042 | B000000 | 0     |
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| 047 | 000000  | Y     |
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| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
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051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 Y  
054 B000000 Y  
054 C000000 Y  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 Y  
PAGE 6  
054 M000000 Y  
054 N000000 N  
054 O000000 Y  
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055 B000000 Y  
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066 G000000 Y  
067 000000 Y  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
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070 B010000 Y

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|     |         |    |        |
|-----|---------|----|--------|
| 070 | B020000 | N  |        |
| 070 | C010000 | Y  |        |
| 070 | C020000 | N  |        |
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| 070 | D020000 | N  |        |
|     | PAGE    | 7  |        |
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| 070 | E020000 | N  |        |
| 070 | F010000 | Y  |        |
| 070 | F020000 | N  |        |
| 070 | G010000 | Y  |        |
| 070 | G020000 | N  |        |
| 070 | H010000 | Y  |        |
| 070 | H020000 | N  |        |
| 070 | I010000 | Y  |        |
| 070 | I020000 | N  |        |
| 070 | J010000 | Y  |        |
| 070 | J020000 | Y  |        |
| 070 | K010000 | Y  |        |
| 070 | K020000 | N  |        |
| 070 | L010000 | Y  |        |
| 070 | L020000 | Y  |        |
| 070 | M010000 | Y  |        |
| 070 | M020000 | Y  |        |
| 070 | N010000 | Y  |        |
| 070 | N020000 | N  |        |
| 070 | O010000 | Y  |        |
| 070 | O020000 | Y  |        |
| 070 | P010000 | Y  |        |
| 070 | P020000 | N  |        |
| 070 | Q010000 | Y  |        |
| 070 | Q020000 | N  |        |
| 070 | R010000 | Y  |        |
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| 071 | B000000 |    | 97482  |
| 071 | C000000 |    | 329032 |
| 071 | D000000 | 29 |        |
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| 072 | B000000 |    | 6      |
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| 072 | T000000 |    | 0      |
| 072 | U000000 |    | 0      |
| 072 | V000000 |    | 0      |
| 072 | W000000 |    | 44     |

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| 072 X000000 | 4112   |
| 072 Y000000 | 0      |
| 072 Z000000 | 9035   |
| 072AA000000 | 0      |
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| 072CC010000 | 24457  |
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| 072DD020000 | 0      |
| 072EE000000 | 0      |
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| 073 C000000 | 0.7800 |
| 074 A000000 | 4      |
| 074 B000000 | 0      |
| 074 C000000 | 18168  |
| 074 D000000 | 0      |
| 074 E000000 | 26455  |
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| 074 P000000 | 248    |
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| 074 T000000 | 275769 |
| 074 U010000 | 17983  |
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| 075 B000000 | 270465 |
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PAGE 9

|             |   |
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| 077 D000000 | N |
| 077 E000000 | N |
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| 077 I000000 | N |
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| 077 K000000 | N |
| 077 L000000 | N |
| 077 M000000 | N |
| 077 N000000 | N |

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077 O000000 N  
077 P000000 N  
077 Q010000 N  
077 Q020000 Y  
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078 000000 N  
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086 C010000 0  
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086 E020000 0  
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086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 50208A102  
087 A030000 SCD  
088 A000000 N  
088 B000000 N  
088 C000000 Y  
088 D000000 N

PAGE 10  
SIGNATURE STEVE FRANK  
TITLE TREASURER