#### Edgar Filing: MONARCH CASINO & RESORT INC - Form 4

#### MONARCH CASINO & RESORT INC

Form 4 August 07, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

SULLIVAN CRAIG F Symbol			Name and Ticker or Trading  RCH CASINO & RESORT  CRI]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (Mi	(Month/Da	3. Date of Earliest Transaction (Month/Day/Year) 08/05/2013			_X_ Director Officer (giv below)		6 Owner er (specify		
(City)	(Street)	Filed(Mont	4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  quired, Disposed of, or Beneficially Owned				
(Chy)	(51410)	Table	: I - Non-De	erivative S	ecuritio	es Acc	quired, Disposed (	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4	(A) or of (D) 4 and 5)  (A) or	) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							7,883	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number action Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option/right to buy	\$ 13.31	08/05/2013		S		6,100	12/19/2008	06/18/2018	Common Stock	6,100
Option/right to buy	\$ 8.09	08/05/2013		S		6,100	11/23/2009	05/22/2019	Common Stock	6,100
Option/right to buy	\$ 11.33	08/05/2013		S		6,100	11/22/2010	05/21/2020	Common Stock	6,100
Option/right to buy	\$ 10.28	08/05/2013		S		6,100	11/07/2011	05/06/2021	Common Stock	6,100
Option/right to buy	\$ 8.92	08/05/2013		S		6,100	12/01/2012	06/01/2022	Common Stock	6,100
Option/right to buy	\$ 13.95						11/10/2013	05/10/2023	Common Stock	6,100
Option/right to buy	\$ 28.02						11/23/2007	05/22/2017	Common Stock	6,100
Option/right to buy	\$ 28.25						11/24/2006	11/23/2016	Common Stock	6,100
Option/right to buy	\$ 19.42						11/27/2005	05/26/2015	Common Stock	6,100

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SULLIVAN CRAIG F							
	X						

# **Signatures**

Craig Sullivan	08/07/2013		
**Signature of Reporting Person	Date		

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.