Edgar Filing: Ergen Three-Year 2017 DISH GRAT - Form 4

Ergen Three-Year 2017 DISH GRAT Form 4 June 01, 2018 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ergen Three-Year 2017 DISH GRAT Issuer Symbol DISH Network CORP [DISH] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify Officer (give title 9601 S. MERIDIAN BLVD. 05/30/2018 below) below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting ENGLEWOOD, CO 80112 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Indirect Beneficial anv (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (\mathbf{I}) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities Acquired	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	(A) or Disposed of		

number.

displays a currently valid OMB control

	Derivative Security				(D) (Inst	r. 3, 4, and 5)				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Class B Common Stock	<u>(1)</u>	05/30/2018	G <u>(2)</u>	V		24,396,261	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	24,396,

Reporting Owners

C C S

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Ergen Three-Year 2017 DISH GRAT 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112		Х			
Signatures					

Cantey M. Ergen, Trustee of the Ergen Three-Year 2017 DISH GRAT, by Brandon Ehrhart, her Attorney-in-Fact 06/01/2018

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On May 30, 2017, Mr. Charles W. Ergen established a Grantor Retained Annuity Trust ("GRAT"), the Ergen Three-Year May 2017 DISH GRAT (the "Three-Year 2017 GRAT") and contributed 40,000,000 Class B shares to the Three-Year 2017 GRAT. The Three-Year

(1) 2017 GRAT may elect to convert any or all of its Class B shares into an equal number of Class A shares at any time for no additional consideration. The Three-Year 2017 GRAT is scheduled to expire in accordance with its terms on May 30, 2020. Mrs. Cantey M. Ergen serves as the trustee of such GRAT.

(2) Pursuant to the terms of the Three-Year 2017 GRAT, 24,396,261 Class B Shares were distributed as an annuity to Mr. Ergen on May 30, 2018. Following this distribution, the Three-Year 2017 GRAT retained 15,603,739 Class B Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date