ALEXION PHARMACEUTICALS INC

Form 4 April 30, 2014

| April 30, 20 | | | | | | | | | OMD AT | | | |
|--|---|--|----------|---|--------------------------|-------|---------------------|---|--|---|--|--|
| FORM 4 UNITED STATES SECURITIES AND EX | | | | | | | NGE CO | OMMISSION | OMB APPROVAL | | | |
| Washington, D.C. 20549 | | | | | | | Number: | 3235-0287 | | | | |
| Check th | 0 | , | Expires: | January 31, | | | | | | | | |
| Section 16. Form 4 or | | | | CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | Estimated average burden hours per response 0.5 | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | | |
| (Print or Type Responses) | | | | | | | | | | | | |
| BELL LEONARD Symbol | | | | r Name an | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | INC [A | | | .0110 | 31 12 5 | (Check all applicable) | | | | |
| | | | | f Earliest T Day/Year) | ransaction | | | X Director 10% OwnerX Officer (give title Other (specify | | | | |
| C/O ALEX | ION | | 04/28/2 | • | | | | below) below) CEO | | | | |
| PHARMACEUTICALS INC, 352 | | | | | | | | | | | | |
| KNOTTER | DRIVE | | | | | | | | | | | |
| | | | | mendment, Date Original Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| CHESHIRE, CT 06410 — Form filed by More than One Reporting Person | | | | | | | | | | | | |
| (City) | (State) | (Zip) | Tab | le I - Non- | Derivative | Secur | rities Acqu | ired, Disposed of, | or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year) | | | Code (Instr. 3, 4 and 5) | | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock, par value \$.0001 per share | 04/28/2014 | | | M | 3,790 (1) | A | | 1,036,121 | D | | | |
| Common Stock, par value \$.0001 per share | 04/28/2014 | | | S | 3,790 (1) | D | \$ 155.12 (2) | 1,032,331 | D | | | |

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| Common Stock, par value \$.0001 per share | 04/29/2014 | M | 66,210 (1) | A | \$ 10.29 | 1,098,541 | D |
|---|------------|---|---------------|---|---------------------|-----------|---|
| Common Stock, par value \$.0001 per share | 04/29/2014 | S | 21,955 (1) | D | \$ 155.31 (2) | 1,076,586 | D |
| Common Stock, par value \$.0001 per share | 04/29/2014 | S | 32,014 (1) | D | \$ 156.58 (3) | 1,044,572 | D |
| Common Stock, par value \$.0001 per share | 04/29/2014 | S | 12,241 (1) | D | \$ 157.15 (4) | 1,032,331 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---------|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option to Purchase Common Stock | \$ 10.29 | 04/28/2014 | | M | 3,790 | 04/15/2007 | 01/15/2017 | Common Stock | 3,790 |
| Option to Purchase Common | \$ 10.29 | 04/29/2014 | | M | 66,210 | 04/15/2007 | 01/15/2017 | Common Stock | 66,210 |

Stock

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

BELL LEONARD

C/O ALEXION PHARMACEUTICALS INC
352 KNOTTER DRIVE

CHESHIRE, CT 06410

Signatures

/s/ Michael Greco Attorney-in-Fact

04/30/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported by this Form 4 are made pursuant to the terms of a sales plan designed to meet the requirements of Rule 10b5-1(c)(1) of the Securities Exchange Act.
- This transaction was executed in multiple trades through a broker-dealer at prices ranging from \$154.90 \$155.90. The price reported in (2) this column reflects the weighted average sales price. Upon request, the reporting person will provide to the SEC staff full information regarding the number of shares sold at each price.
- This transaction was executed in multiple trades through a broker-dealer at prices ranging from \$155.91 \$156.91. The price reported in (3) this column reflects the weighted average sales price. Upon request, the reporting person will provide to the SEC staff full information regarding the number of shares sold at each price.
- This transaction was executed in multiple trades through a broker-dealer at prices ranging from \$156.91 \$157.91. The price reported in this column reflects the weighted average sales price. Upon request, the reporting person will provide to the SEC staff full information regarding the number of shares sold at each price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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