First Foundation Inc. Form SC 13G February 09, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No. )\*

First Foundation Inc. (Name of Issuer)

<u>Common Stock, par value \$0.001 per share</u> (Title of Class of Securities)

32026V104 (CUSIP Number)

<u>December 31, 2017</u> (Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b) Rule 13d-1(c) Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 13GPage 2 of 7 Pages 32026V104 NAME OF REPORTING **PERSON** I.R.S. IDENTIFICATION 1 NO. OF ABOVE PERSON **Basswood Capital** Management, L.L.C. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF ORGANIZATION 4 Delaware **SOLE VOTING POWER** 5 0 **NUMBER** OF **SHARED VOTING SHARES** BENEFICIALLY POWER **OWNED** 2,072,115 BY**EACH** REPORTING **SOLE DISPOSITIVE PERSON POWER** 

0

**WITH** 

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CUSIP No. 32026V104

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NAME OF REPORTING

**PERSON** 

1 I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Matthew Lindenbaum

CHECK THE

APPROPRIATE BOX IF A MEMBER OF A GROUP\*

 $\begin{array}{c} \mathbf{v} \\ \mathbf{a} \\ \end{array}$ 

(b)

SEC USE ONLY

3

4

CITIZENSHIP OR PLACE OF ORGANIZATION

United States

**NUMBER** 

OF SOLE VOTING

SHARES <sub>z</sub> POWER

BENEFICIALLY OWNED 0

BY EACH

REPORTING SHARED VOTING

PERSON POWER

WITH O

2,072,115

SOLE DISPOSITIVE

, POWER

0

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SHARED DISPOSITIVE POWER

2,072,115

AGGREGATE AMOUNT
BENEFICIALLY OWNED
BY EACH REPORTING
PERSON

2,072,115

CHECK BOX IF THE
AGGREGATE AMOUNT IN
ROW (9) EXCLUDES
CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

5.9%

TYPE OF REPORTING PERSON\*

12 IN/HC

-3-

CUSIP No. 32026V104

13GPage 4 of 7 Pages

NAME OF REPORTING

**PERSON** 

1 I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Bennett Lindenbaum

CHECK THE

APPROPRIATE BOX IF A MEMBER OF A GROUP\*

 $\begin{array}{c} \mathbf{v} \\ \mathbf{a} \\ \end{array}$ 

(b)

SEC USE ONLY

3

4

CITIZENSHIP OR PLACE OF ORGANIZATION

**United States** 

**NUMBER** 

OF SOLE VOTING

SHARES <sub>z</sub> POWER

BENEFICIALLY OWNED 0

BY EACH

REPORTING SHARED VOTING

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2,072,115

**SOLE DISPOSITIVE** 

POWER

0

8

SHARED DISPOSITIVE POWER

2,072,115

AGGREGATE AMOUNT
BENEFICIALLY OWNED
BY EACH REPORTING
PERSON

2,072,115

CHECK BOX IF THE
AGGREGATE AMOUNT IN
ROW (9) EXCLUDES
CERTAIN SHARES

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

5.9%

TYPE OF REPORTING PERSON\*

IN/HC

-4-

#### Item

# 1(a) Name of Issuer:

First Foundation Inc.

#### Item

# Address of Issuer's Principal Executive Offices:

18101 Von Karman Avenue Suite 700 Irvine, CA 92612

#### Item

# Name of Person Filing:

The information required by Item 2(a) is set forth in Row 1 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

#### Item

# Address of Principal Business Office or, if none, Residence:

c/o Basswood Capital Management, L.L.C. 645 Madison Avenue, 10<sup>th</sup> Floor New York, NY 10022

#### Item

# 2(c) <u>Citizenship</u>:

The information required by Item 2(c) is set forth in Row 4 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

#### Item

# 2(d) Title of Class of Securities:

Common Stock, par value \$0.001 per share

#### Item

# 2(e) <u>CUSIP Number</u>:

32026V104

#### Item 3 Statement filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c):

The information required by Item 3 is set forth in Row 12 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

## Item 4 Ownership:

The information required by Items 4(a)-(c) is set forth in Rows 5-11 of the cover page hereto for each Reporting Person and is incorporated herein by reference for each such Reporting Person.

### Item 5 Ownership of Five Percent or Less of a Class:

Not Applicable

## Item 6 Ownership of More than Five Percent on Behalf of Another Person:

Not Applicable

Item 7 <u>Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person:</u>

See Exhibit 99.1.

### Item 8 Identification and Classification of Members of the Group:

Not Applicable

### Item 9 Notice of Dissolution of Group:

Not Applicable

Item 10 Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

[Remainder of page intentionally left blank]

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### **SIGNATURE**

After reasonable inquiry and to the best of the knowledge and belief of the undersigned, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Dated: February 9, 2018

**BASSWOOD CAPITAL** MANAGEMENT, L.L.C.

By: /s/ Matthew Lindenbaum

Name: Matthew Lindenbaum Title: Managing Member

/s/ Matthew

Lindenbaum

Matthew Lindenbaum, an

individual

/s/ Bennett

Lindenbaum

Bennett Lindenbaum, an

individual

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