### Edgar Filing: WINNEBAGO INDUSTRIES INC - Form 4/A

#### WINNEBAGO INDUSTRIES INC

Form 4/A

December 15, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Happe Michael J

(Last)

2. Issuer Name and Ticker or Trading

Symbol

WINNEBAGO INDUSTRIES INC [WGO]

3. Date of Earliest Transaction

(Month/Day/Year)

10/11/2016

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(First) (Middle)

WINNEBAGO INDUSTRIES, INC., P.O. BOX 152

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

10/11/2016

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

below)

CEO & President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FOREST CITY, IA 50436

(City)	(State)	(Zip) Table	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ior(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$.50 par value	10/11/2016		Code V  A	Amount 4,039 (1)	(D)	Price \$ 27.89	14,039	D	
Common Stock, \$.50 par value	10/11/2016		F	2,093	D	\$ 27.89	11,946	D	
Common Stock, \$.50 par value	10/11/2016		A	13,300 (2)	A	\$ 0 (2)	25,246	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security (Instr. 3) Price of Derivative Security		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (rights to buy)	\$ 27.89	10/11/2016		A	13,300 (3)	(3)	10/11/2026	Common Stock	13,300

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Happe Michael J

WINNEBAGO INDUSTRIES, INC.
P.O. BOX 152

CEO & President

FOREST CITY, IA 50436

## **Signatures**

/s/ Scott C. Folkers, Secretary, Winnebago Industries, Inc. under Power of Attorney 12/15/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted under the Winnebago Industries, Inc. 2014 Omnibus Equity, Performance Awards, and Incentive Compensation Plan which provides for stock grants to Executive Officers under the Officers' Incentive Compensation Plan for Fiscal Year 2016.
- (2) Granted 10/11/16 under the Winnebago Industries, Inc. 2014 Omnibus Equity, Performance Awards, and Incentive Plan. Restricted shares vest in annual increments of one-third beginning on 10/11/2017.
- Granted under the Winnebago Industries, Inc. 2014 Omnibus Equity, Performance Awards and Incentive Compensation Plan, which is a Section 16(b) Plan with options vesting in annual increments of one-third commencing 10/11/2017 and expiring ten years from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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