Condame Jennifer R Form 4 May 29, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0287

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obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Condame Jennifer R

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

(Zip)

GRAHAM CORP [GHM] 3. Date of Earliest Transaction

(Month/Day/Year) 05/24/2018

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify below)

CAO and Controller

C/O GRAHAM CORPORATION, 20 FLORENCE **AVENUE**

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BATAVIA, NY 14020

(City)

| | | Tubic | ic 1 Tron Derivative Securities required, Disposed of, or Denerteding Owned | | | | | | |
|------------|---------------------|--------------------|---|---------------------|--------|----------|------------------|--------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securi | ties A | equired | 5. Amount of | 6. Ownership | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transaction(A) or Disposed of (D) | | | d of (D) | Securities | Form: Direct | Indirect |
| (Instr. 3) | • | any | Code | (Instr. 3, 4 and 5) | | | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned | Indirect (I) | Ownership |
| | | | | | | | Following | (Instr. 4) | (Instr. 4) |
| | | | | | (1) | | Reported | | |
| | | | | | (A) | | Transaction(s) | | |
| | | | ~ | | or | . | (Instr. 3 and 4) | | |
| | | | Code V | Amount | (D) | Price | | | |
| Common | 05/24/2018 | | F | 246 (1) | D | \$ | 20,379 | D | |
| Stock | 03/24/2016 | | Г | 240 (1) | ע | 25.06 | 20,379 | D | |
| | | | | | | | | | |
| Common | 05/28/2018 | | F | 103 (1) | D | ¢ 25 2 | 20,276 | D | |
| Stock | 03/26/2016 | | Г | 103 (1) | ע | \$ 23.2 | 20,270 | ט | |
| | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | of | | te | 7. Title and A Underlying S (Instr. 3 and | Securities | 8. Prio Deriv Secur (Instr. |
|---|---|---|---|--|---------|---------------------|--------------------|---|--|--------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 30.875 (2) | | | | | 05/29/2012 | 05/29/2018 | Common Stock | 576 <u>(2)</u> | |
| Stock Option (Right to Buy) | \$ 44.5 <u>(2)</u> | | | | | 07/31/2012 | 07/31/2018 | Common Stock | 1,000 (2) | |
| Stock Option (Right to Buy) | \$ 15.22 (2) | | | | | 05/28/2012 | 05/28/2019 | Common Stock | 2,678 (2) | |
| Stock Option (Right to Buy) | \$ 15.25 (2) | | | | | 05/20/2013 | 05/20/2020 | Common Stock | 1,609 (2) | |
| Stock Option (Right to Buy) | \$ 18.65 (2) | | | | | 05/30/2015 | 05/30/2022 | Common Stock | 3,127 (2) | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|--------------------|-------|--|--|--|
| · · · · · · · · · · · · · · · · · · · | Director | 10% Owner | Officer | Other | | | |
| Condame Jennifer R C/O GRAHAM CORPORATION 20 FLORENCE AVENUE BATAVIA, NY 14020 | | | CAO and Controller | | | | |

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Signatures

/s/ Jennifer R. 05/29/2018 Condame

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to cover tax withholding obligations upon the vesting of a restricted stock award.
- (2) This option was previously reported by Ms. Condame.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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