## Edgar Filing: EMCORE CORP - Form 4

EMCORE COF Form 4											
November 15, 2									OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-028		
Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instructi 1(b).	ENT OF CH uant to Section of the Publi	<ul> <li>F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES</li> <li>Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940</li> </ul>						Expires:January 3 200Estimated average burden hours per response0.			
(Print or Type Res	ponses)										
1. Name and Address of Reporting Person <u>*</u> GILLEN JOHN			2. Issuer Name <b>and</b> Ticker or Trading Symbol EMCORE CORP [EMKR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O EMCORE CORPORATION, 145 BELMONT DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 11/14/2006				Officer (give title Other (specify below) below)				
21012	(Street)	treet) 4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SOMERSET,	NJ 08873							Form filed by Mo Person			
(City)	(State) (Z	Zip)	Table I - I	Non-Deri	vative Se	curitie	es Acqu	iired, Disposed of,	or Beneficiall	y Owned	
(Instr. 3) any		Execution D any			4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A)			<ul> <li>5. Amount of Securities</li> <li>Beneficially</li> <li>Owned</li> <li>Following</li> <li>Reported</li> <li>Transaction(s)</li> </ul>	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
EMCORE Corporation Common Stock, no par value	11/14/2006		(	Code V A	Amount 226 <u>(1)</u>	or (D) A	Price \$ 4.86	(Instr. 3 and 4) 27,338	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
GILLEN JOHN C/O EMCORE CORPORA 145 BELMONT DRIVE SOMERSET, NJ 08873	TION	Х						
Signatures								
John Gillen	11/15/2006							
<u>**</u> Signature of Reporting Person	Date	e						
Explanation of Responses:								

## If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares acquired pursuant to Directors' Stock Award Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.