### Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 4

#### RAYMOND JAMES FINANCIAL INC

Form 4

January 02, 2008

# FORM 4

Check this box

if no longer

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

Symbol

See Instruction 1(b).

(Print or Type Responses)

**EITEL JOHN TIMOTHY** 

1. Name and Address of Reporting Person \*

RAYMOND JAMES FINANCIAL (Check all applicable) INC [RJF] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 880 CARILLON PARKWAY 01/02/2008 Executive Officer - RJA (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST. PETERSBURG, FL 33716 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial Indirect (I) (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 1,200 12/17/2007 G D \$0 48,334 D (1)Stock Common  $18,903 \frac{(2)}{}$ I **ESOP** Stock Common Ι Spouse 2,048 Stock Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474

(9-02)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

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5. Relationship of Reporting Person(s) to

Issuer

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8. I Der Sec (In:

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 14.0222					12/10/2005	02/10/2008	Common Stock	11,250
Employee Stock Option (right to buy)	\$ 16.8					12/04/2006	02/04/2009	Common Stock	5,742
Employee Stock Option (right to buy)	\$ 16.8					12/04/2006	02/04/2009	Common Stock	12,258 (3)
Employee Stock Option (right to buy)	\$ 24.9733					12/01/2008	02/01/2011	Common Stock	15,000 (4)
Employee Stock Option (right to buy)	\$ 30.44					11/27/2010	01/27/2013	Common Stock	15,000 (5)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 2

### Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 4

Director 10% Owner Officer Other

EITEL JOHN TIMOTHY 880 CARILLON PARKWAY ST. PETERSBURG, FL 33716

Executive Officer - RJA

## **Signatures**

John Timothy
Eitel 01/02/2008

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of stock as portion of annual bonus
- (2) Includes number of shares acquired under ESOP through 01/02/2008
- (3) Options Currently exercisable 1,458. Options Becoming Exercisable 5,400 on 01/04/2008 and 5,400 on 01/04/2009
- (4) Options Becoming Exercisable 3,750 on 12/01/2008, 3,750 on 12/01/2009, 3,750 on 12/01/2010 and 3,750 on 12/01/2011
- (5) Options Becoming exercisable 9,000 on 11/27/2010, 3,000 on 11/27/2011 and 3,000 on 11/27/2012

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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