

Sieben Brandon T  
 Form 3  
 November 09, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Sieben Brandon T (Last) (First) (Middle)  600 EAST SECOND STREET (Street)  MUSCATINE, IA 52761 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 11/07/2018	3. Issuer Name and Ticker or Trading Symbol HNI CORP [HNI]	4. Relationship of Reporting Person(s) to Issuer  (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) President, Allsteel Inc.	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
---	--	---	--	--	---

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	19,884.084	D	^
Common Stock	2,594.0601	I	Profit Sharing Retirement Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

## Edgar Filing: Sieben Brandon T - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualifying employee stock option (right to buy)	02/13/2017 <sup>(1)</sup>	02/13/2023	Common Stock	5,888	\$ 31.79	D	Â
Non-qualifying employee stock option (right to buy)	02/12/2018 <sup>(1)</sup>	02/12/2024	Common Stock	5,670	\$ 34.78	D	Â
Non-qualifying employee stock option (right to buy)	02/18/2019 <sup>(2)</sup>	02/18/2025	Common Stock	3,664	\$ 51.54	D	Â
Non-qualifying employee stock option (right to buy)	02/17/2020 <sup>(2)</sup>	02/17/2026	Common Stock	10,610	\$ 32.03	D	Â
Non-qualifying employee stock option (right to buy)	02/15/2021 <sup>(2)</sup>	02/15/2027	Common Stock	6,728	\$ 46.62	D	Â
Non-qualifying employee stock option (right to buy)	02/14/2022 <sup>(2)</sup>	02/14/2028	Common Stock	20,674	\$ 38.68	D	Â
Restricted Stock Units	08/07/2020	Â <sup>(3)</sup>	Common Stock	9,289	\$ 0 <sup>(4)</sup>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sieben Brandon T 600 EAST SECOND STREET MUSCATINE, IA 52761	Â	Â	Â President, Allsteel Inc.	Â

## Signatures

/s/ Brandon T.  
Sieben

11/09/2018

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became fully vested and exercisable in one installment on the fourth anniversary of the grant date.
- (2) The option will become fully vested and exercisable in one installment on the fourth anniversary of the grant date.
- (3)

## Edgar Filing: Sieben Brandon T - Form 3

On August 7, 2018, the reporting person was granted restricted stock units, vesting in two equal installments beginning on the second anniversary of the grant date.

- (4) Each restricted stock unit represents a contingent right to receive one share of HNI Corporation Common Stock.

Â

**Remarks:**

Exhibit List: Exhibit 24-1 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.