#### VINDIGNI ANDREW V

Form 5

Common

Stock

Â

12/29/2017

| February 01,   | 2018   |   |  |   |  |               |   |   |                          |  |  |
|--|--|---|--|---|--|---------------|---|---|--------------------------|--|--|
| <b>FORM</b>  | 15   |   |  |   |  |               |   | OMB AF  | PPROVAL                  |  |  |
|  | UNITED STATES SECURITIES AND EXCHANGE COMMISSION   |   |  |   |  |               |   | OMB<br>Number:                                | 3235-0362                |  |  |
| no longer  | Check this box if Washington no longer subject   |   |  |   | J.C. 20549   |               |   |   | January 31,<br>2005      |  |  |
| to Section Form 4 or 5 obligation may continue See Instruc | Form ANN ons nue.  |   | ATEMENT OF CHANGES IN BENEI<br>OWNERSHIP OF SECURITIES |   |  |               |   | Estimated average burden hours per response 1 |                          |  |  |
| 1(b).  | Filed purs   | suant to Section 1<br>a) of the Public U<br>30(h) of the Ir | tility Holdin  | g Compa   | ny A   | ct of 1       | 935 or Sectio   | n   |                          |  |  |
|  | Address of Reporting F<br>ANDREW V   | Symbol<br>GENEI   | - · · · · · · · · · · · · · · · · · · ·                |   |  |               | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable) |   |                          |  |  |
| (Last)   | (First) (M   | (Month/I  | (Month Buy Tear)                                       |   |  |               | Director 10% Owner Officer (give title Other (specify below)                |   |                          |  |  |
| INVESTOR   | AMERICAN<br>S COMPANY<br>PARK AVENUE<br>OR   |   |  |   |  |               | Senio   | r Vice-Presiden                               | it                       |  |  |
|  | (Street)   | 4. If Amendment, Date Original Filed(Month/Day/Year)        |  |   |  | 6             | 6. Individual or Joint/Group Reporting  (check applicable line)             |   |                          |  |  |
| NEW YOR  | K, NY 10017  |   |  |   |  |               |   |   |                          |  |  |
| 1,2,, 1,01   |  |   |  |   |  | _             | X_Form Filed by Person  | One Reporting Po<br>More than One Ro          |                          |  |  |
| (City)   | (State)  | (Zip) Tab   | le I - Non-Deri  | vative Secu   | ırities  | Acqui         | red, Disposed of  | f, or Beneficial                              | ly Owned                 |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                       | 2. Transaction Date 2A. Deemed 3. 4. Securities Acquire (Month/Day/Year) Execution Date, if any Code (D) (Month/Day/Year) (Instr. 8) (A) or (A) or Amount (D) Price (Month/Day/Year) (D) Price (Month/Day/Year) (D) Price (Month/Day/Year) (A) |   | of   | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |               |   |   |                          |  |  |
| Common<br>Stock  | 12/29/2017   | Â   | J <u>(1)</u>   | 15,887  | A  | \$ <u>(1)</u> | 156,613   | I   | By Thrift Plan Trust (2) |  |  |

35,002 (3)

D

\$ (4) 121,611

I

 $J_{\underline{(3)}}$ 

By Thrift

Plan Trust

(2)

#### Edgar Filing: VINDIGNI ANDREW V - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Of D So

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.          | 6. Date Exerc | cisable and | 7. Title | e and    | 8. Price of |  |
|--|-------------|-------------|---------------------|--------------------|-------------|-------------|---------------|-------------|----------|----------|-------------|--|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number      | Expiration D  | ate         | Amou     | nt of    | Derivative  |  |
|  | Security    | or Exercise |                     | any                | Code        | of          | (Month/Day/   | Year)       | Under    | lying    | Security    |  |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative  | e             |             | Securi   | ties     | (Instr. 5)  |  |
|  |             | Derivative  |                     |                    |             | Securities  |               |             | (Instr.  | 3 and 4) |             |  |
|  |             | Security    |                     |                    |             | Acquired    |               |             |          |          |             |  |
|  |             | ·           |                     |                    |             | (A) or      |               |             |          |          |             |  |
|  |             |             |                     |                    |             | Disposed    |               |             |          |          |             |  |
|  |             |             |                     |                    |             | of (D)      |               |             |          |          |             |  |
|  |             |             |                     |                    |             | (Instr. 3,  |               |             |          |          |             |  |
|  |             |             |                     |                    |             | 4, and 5)   |               |             |          |          |             |  |
|  |             |             |                     |                    |             | , ,         |               |             |          |          |             |  |
|  |             |             |                     |                    |             |             |               |             |          | Amount   |             |  |
|  |             |             |                     |                    |             |             | Date          | Expiration  |          | or       |             |  |
|  |             |             |                     |                    |             | Exercisable | Date          | Title Numbe | Number   |          |             |  |
|  |             |             |                     |                    |             |             |               |             | of       |          |             |  |
|  |             |             |                     |                    |             | (A) (D)     |               |             |          | Shares   |             |  |

#### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

VINDIGNI ANDREW V GENERAL AMERICAN INVESTORS COMPANY INC. 100 PARK AVENUE - 35TH FLOOR NEW YORK, NYÂ 10017

Â Senior Vice-President Â

## **Signatures**

/s/Andrew V.
Vindigni

\*\*Signature of Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities reported herein were acquired on a periodic basis in open market transactions at the prevailing market price pursuant to the Issuer's Employees' Thrift Plan. The information is based upon a statement as of 12/31/2017 issued by the Plan Administrator.
- (2) By Issuer's Employees' Thrift Plan Trust. The undersigned disclaims any beneficial interest in these shares.
- (3) Shares converted to a Money Market.
- (4) At the prevailing market price pursuant to the Issuer's Employees' Thrift Plan. The information is based upon a statement as of 12/31/2017 issued by the Plan Administrator.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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