Edgar Filing: DILLARD ALEX - Form 4

| DILLARD AI Form 4 May 09, 2013 | | | | | | | | | |
|---|--|--|--|--|---------------------|--|---|---|--|
| FORM | Л | | | | | | OMB AF | PROVAL | |
| | UNITED S' | | S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | OMB Number: | 3235-0287 | |
| Check this if no longe subject to Section 16 Form 4 or Form 5 obligations may contir <i>See</i> Instruct 1(b). | Filed pursu Filed pursu Section 17(a) | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | Expires:January 31, 2005Estimated averageburden hours per response0.5 | |
| (Print or Type Re | esponses) | | | | | | | | |
| 1. Name and Ad DILLARD A | dress of Reporting Pe LEX | Symbol | Name and Ticke RDS INC [DD | | g | 5. Relationship of Issuer | | | |
| (Last) 1600 CANTE | | ddle) 3. Date of (Month/Da 05/07/20 | • | tion | | X Director X Officer (give below) | |) Owner r (specify | |
| LITTLE ROO | (Street) CK, AR 72201 | | idment, Date Ori h/Day/Year) | ginal | | 6. Individual or Jos Applicable Line) _X_ Form filed by O Form filed by M Person | ne Reporting Per | son | |
| (City) | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 3.4.5Transaction(A)Code | Securities A or Dispose str. 3, 4 and (A) or | cquired d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | |
| Common Class A | 05/07/2013 | | A 36 | | \$ 85.61 | 870,852 | D | | |
| Common Class A - Retirement Plan | | | | | | 80,395 | D | | |
| Common Class A | | | | | | 41,496 <u>(1)</u> | I | See Footnote 1 | |
| Common Class A | | | | | | 36,000 <u>(3)</u> | I | By Spouse | |

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| Common Class A | | | | | | 26,215 | 5 <u>(2)</u> I | | See Foc 2 | otnote | |
|--|--|---|---|--|----------------|--|--------------------|-------|---|--|--|
| Common Class B | | | | | | 3,985, | ,776 <u>(1)</u> I | l | See Foc 1 | e otnote | |
| Common Class A | | | | | | 295,01 | 14 <u>(4)</u> I | | See Foc 4 | e otnote | |
| Reminder: F | Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. | | | | | | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | onNumber of | rr Expiration Date Amount of Deriv (Month/Day/Year) Underlying Securitive Securities (Instries (Instries (Instries))) ed ed 3, | | | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr | |
| | | | | Code V | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| Repo | rting O | wners | | | | | | | | | |

| Reporting Owner Name / Addre | ess | Relationships | | | | | | |
|---|------------|---------------|-----------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| DILLARD ALEX 1600 CANTRELL ROAD LITTLE ROCK, AR 72201 | Х | | President | | | | | |
| Signatures | | | | | | | | |
| /s/ Alex Dillard | 05/09/2013 | | | | | | | |
| **Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by W.D. Company. The reporting person owns 27.93% of W.D. Company and is one of its directors.
- (2) Co-Trustee and co-beneficiary of WTD Exemption Trust.
- (3) Owned by Spouse
- (4) Co-Trustee of WTD Exemption Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.