

PROVIDENT FINANCIAL SERVICES INC
Form 4
August 05, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BLUM DONALD W

2. Issuer Name and Ticker or Trading Symbol
PROVIDENT FINANCIAL SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
239 WASHINGTON STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/05/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP/CLO of The Provident Bank

JERSEY CITY, NJ 07302

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	08/05/2016		M		10,000 (1)	A	\$ 18.87 73,698 D
Common Stock	08/05/2016		S		401	D	\$ 20.71 73,297 D
Common Stock	08/05/2016		S		100	D	\$ 20.705 73,197 D
Common Stock	08/05/2016		S		100	D	\$ 20.7025 73,097 D
Common Stock	08/05/2016		S		1,299	D	\$ 20.7 71,798 D

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Common Stock	08/05/2016	S	100	D	\$ 20.695	71,698	D	
Common Stock	08/05/2016	S	2,200	D	\$ 20.69	69,498	D	
Common Stock	08/05/2016	S	300	D	\$ 20.685	69,198	D	
Common Stock	08/05/2016	S	1,310	D	\$ 20.68	67,888	D	
Common Stock	08/05/2016	S	1,400	D	\$ 20.67	66,488	D	
Common Stock	08/05/2016	S	800	D	\$ 20.66	65,688	D	
Common Stock	08/05/2016	S	1,990	D	\$ 20.65	63,698	D	
Common Stock						5,683 ⁽²⁾	I	By 401(k)
Common Stock						16,774 ⁽²⁾	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 18.87	08/05/2016		M	10,000	09/21/2007	09/21/2016	Common Stock	10,000
Stock Options	\$ 10.34					03/04/2013	03/04/2023	Common Stock	14,046 ⁽³⁾
Stock Options	\$ 10.4					02/03/2010	02/03/2019	Common Stock	8,090 ⁽³⁾

Stock Options	\$ 12.54	01/29/2009	01/29/2018	Common Stock	6,100 (3)
Stock Options	\$ 17.94	01/29/2008	01/29/2017	Common Stock	10,988 (3)
Stock Options	\$ 10.4	02/03/2012	02/03/2019	Common Stock	2,417 (3)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BLUM DONALD W 239 WASHINGTON STREET JERSEY CITY, NJ 07302			EVP/CLO of The Provident Bank	

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney

08/05/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options expiring on September 21, 2016
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Stock options have fully vested.

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