MUZYKA DOUGLAS W.

Form 4

September 05, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16.

Check this box

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MUZYKA DOUGLAS W.

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

5. Relationship of Reporting Person(s) to Issuer

below)

**DUPONT E I DE NEMOURS & CO** 

[DD]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

(Month/Day/Year)

08/31/2017

SVP & Chief S&T Officer

CHESTNUT RUN PLAZA 730, 974 **CENTRE ROAD** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WILMINGTON, DE 19805

(City) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(State)

(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

4. Securities Acquired (A) or 5. Amount of TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following Reported Transaction(s)

6. Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) or (Instr. 3 and 4) Code V Amount

Price (D)

D

D

Common 08/31/2017 Stock

44,857,2932 D (1)

<u>(1)</u> 0

> SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 49.9015	08/31/2017		D	11,124 (2)	<u>(2)</u>	02/05/2019	Common Stock	11,124
Employee Stock Option (Right to Buy)	\$ 45.7189	08/31/2017		D	23,378	(3)	02/05/2020	Common Stock	23,378
Employee Stock Option (Right to Buy)	\$ 59.6543	08/31/2017		D	18,962 (4)	<u>(4)</u>	02/04/2021	Common stock	18,962
Employee Stock Option (Right to Buy)	\$ 71.0648	08/31/2017		D	26,836 (5)	<u>(5)</u>	02/03/2022	Common Stock	26,836
Employee Stock Option (Right to Buy)	\$ 58.76	08/31/2017		D	39,851 (6)	<u>(6)</u>	02/02/2026	Common Stock	39,851
Employee Stock Option (Right to Buy)	\$ 76.17	08/31/2017		D	36,037 ( <u>7)</u>	<u>(7)</u>	02/01/2027	Common Stock	36,037

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

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MUZYKA DOUGLAS W. CHESTNUT RUN PLAZA 730 974 CENTRE ROAD WILMINGTON, DE 19805

SVP & Chief S&T Officer

#### **Signatures**

Erik T. Hoover by Power of Attorney

09/05/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Disposed of pursuant to merger agreement between issuer and Dow Chemical Company in exchange for 57,506 shares or stock units, as applicable, of DowDuPont common stock having a market value of \$67.18 per share or stock unit, as applicable, on the effective date of the merger.
- (2) This option, which provided for vesting in three equal annual installments beginning February 6, 2013, was assumed by DowDuPont in the merger and exchanged for 14,260 options at a grant price of \$38.93.
- (3) This option, which provided for vesting in three equal annual installments beginning February 6, 2014, was assumed by DowDuPont in the merger and exchanged for 29,970 options at a grant price of \$35.67.
- (4) This option, which provided for vesting in three equal annual installments beginning February 5, 2015, was assumed by DowDuPont in the merger and exchanged for 24,309 options at a grant price of \$46.54.
- (5) This option, which provided for vesting in three equal annual installments beginning February 4, 2016, was assumed by DowDuPont in the merger and exchanged for 34,403 options at a grant price of \$55.44.
- (6) This option, which provided for vesting in three equal annual installments beginning February 3, 2017, was assumed by DowDuPont in the merger and exchanged for 51,088 options at a grant price of \$45.84.
- (7) This option, which provided for vesting in three equal annual installments beginning February 2, 2018, was assumed by DowDuPont in the merger and exchanged for 46,199 options at a grant price of \$59.42.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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