Fogarty Maria V. Form 4 April 17, 2019

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Fogarty Maria V.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

SPIRE INC [SR]

02/08/2018

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify Officer (give title

700 MARKET STREET

below) 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ST. LOUIS, MO 63101

Security

(Instr. 3)

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned Ownership Following (Instr. 4) (Instr. 4)

> Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 4 Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date Underlying Securities** Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) or

### Edgar Filing: Fogarty Maria V. - Form 4

	Derivative Security				Disposed of (Instr. 3, 4, a 5)					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	02/08/2018	I		1,078.04		<u>(1)</u>	<u>(1)</u>	Common Stock	1,078.04
Phantom Stock	<u>(2)</u>	04/03/2018	A		8.37		(2)	(2)	Common Stock	8.37
Phantom Stock	<u>(3)</u>	06/29/2018	A		102.26		(3)	(3)	Common Stock	102.26
Phantom Stock	(2)	07/03/2018	A		8.45		(2)	(2)	Common Stock	8.45
Phantom Stock	<u>(3)</u>	09/28/2018	A		98.23		<u>(3)</u>	(3)	Common Stock	98.23
Phantom Stock	<u>(2)</u>	10/02/2018	A		9.25		(2)	(2)	Common Stock	9.25
Phantom Stock	<u>(3)</u>	12/14/2018	A		91.09		(3)	(3)	Common Stock	91.09
Phantom Stock	<u>(2)</u>	01/03/2019	A		10.62		(2)	(2)	Common Stock	10.62
Phantom Stock	<u>(4)</u>	02/01/2019	A		19.06		<u>(4)</u>	<u>(4)</u>	Common Stock	19.06
Phantom Stock	<u>(5)</u>	02/01/2019	A		19.06		<u>(5)</u>	<u>(5)</u>	Common Stock	19.06
Phantom Stock	<u>(6)</u>	02/01/2019	A		19.06		<u>(6)</u>	<u>(6)</u>	Common Stock	19.06
Phantom Stock	<u>(7)</u>	02/01/2019	A		19.06		<u>(7)</u>	<u>(7)</u>	Common Stock	19.06
Phantom Stock	<u>(8)</u>	02/01/2019	A		19.06		(8)	(8)	Common Stock	19.06
Phantom Stock	<u>(4)</u>	03/29/2019	A		27.85		<u>(4)</u>	<u>(4)</u>	Common Stock	27.85
Phantom Stock	<u>(5)</u>	03/29/2019	A		27.85		<u>(5)</u>	<u>(5)</u>	Common Stock	27.85
Phantom Stock	<u>(6)</u>	03/29/2019	A		27.85		<u>(6)</u>	(6)	Common Stock	27.85
Phantom Stock	<u>(7)</u>	03/29/2019	A		27.85		<u>(7)</u>	<u>(7)</u>	Common Stock	27.85
Phantom Stock	<u>(8)</u>	03/29/2019	A		27.85		<u>(8)</u>	(8)	Common Stock	27.85

### Edgar Filing: Fogarty Maria V. - Form 4

Phantom Stock	<u>(9)</u>	04/02/2019	A	0.14	<u>(9)</u>	<u>(9)</u>	Common Stock	0.14
Phantom Stock	<u>(10)</u>	04/02/2019	A	0.14	(10)	(10)	Common Stock	0.14
Phantom Stock	(11)	04/02/2019	A	0.14	(11)	(11)	Common Stock	0.14
Phantom Stock	(12)	04/02/2019	A	0.14	(12)	(12)	Common Stock	0.14
Phantom Stock	<u>(13)</u>	04/02/2019	A	0.14	(13)	(13)	Common Stock	0.14
Phantom Stock	<u>(2)</u>	04/02/2019	A	10.27	(2)	<u>(2)</u>	Common Stock	10.27

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Fogarty Maria V. 700 MARKET STREET ST. LOUIS, MO 63101

# **Signatures**

/s/ Ellen Theroff, Attorney-in-Fact for Fogarty
Maria V.

04/17/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents phantom stock acquired by the reporting person pursuant to a transfer from another investment within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2022 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2023 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2024 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (13) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the

Reporting Owners 3

### Edgar Filing: Fogarty Maria V. - Form 4

economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

- Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2022 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2023 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2024 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.