KOCH C JAMES Form 4

January 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

BOSTON BEER CO INC [SAM]

3. Date of Earliest Transaction

Symbol

1(b).

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obligations

may continue.

See Instruction

(Print or Type Responses)

KOCH C JAMES

(Last)

1. Name and Address of Reporting Person *

(First)

(Middle)

| C/O THE E COMPANY CENTER F | /Day/Year) /2018 | | | Director 10% Owner Officer (give title Other (specify below) Chairman | | | | | |
|---|--|--|--|---|--|-----|---|--------------|---|
| BOSTON, | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | cially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date any (Month/Day/ | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) | | | | 5. Amount of Securities Ownership Form: Direct Owned Owned Following Reported Transaction(s) (Instr. 3 and 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common | 01/01/2018 | | A | 654 | A | \$0 | 74,026 (1) | D | |
| Class A Common | | | | | | | 44,248 | I | By LLC managed by spouse |
| Class A Common | | | | | | | 23,486 | I | Custodian for children under UGTMA |
| Class A | | | | | | | 23,000 | I | Ву |

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3235-0287

January 31,

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Number:

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5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Estimated average

burden hours per

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| Common | | | Foundation managed by Reporting Person | | | | |
|---|-------|---|---|--|--|--|--|
| Class A Common | 5,000 | I | By Trust as Trustee | | | | |
| Class A Common | 3,656 | I | By spouse as custodian for children under UGTMA | | | | |
| Class A Common | 2,532 | I | By spouse in trust for children | | | | |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. | | | | | | | |

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SEC 1474 (9-02)

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of | |
|--|-------------|-------------|---------------------|--------------------|-----------|--------------|---------------|-------------|---------|----------------|-------------|--|
| | Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transac | tionNumber | Expiration D | ate | Amou | nt of | Derivative | |
| | Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | |
| | (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8 |) Derivative | e | | Securi | ities | (Instr. 5) | |
| | | Derivative | | | | Securities | Securities | | (Instr. | nstr. 3 and 4) | | |
| | | Security | | | | Acquired | Acquired | | | | | |
| | | | | | | (A) or | | | | | | |
| | | | | | | Disposed | | | | | | |
| | | | | | | of (D) | | | | | | |
| | | | | | | (Instr. 3, | | | | | | |
| | | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | Amount | | |
| | | | | | | | Date | Expiration | FD1 - 1 | or | | |
| | | | | | | | Exercisable | Date | | Number | | |
| | | | | | ~ | | | | | of | | |
| | | | | | Code ' | V (A) (D) | | | | Shares | | |

Reporting Owners

Relationships **Reporting Owner Name / Address**

Director 10% Owner Officer Other

KOCH C JAMES C/O THE BOSTON BEER COMPANY ONE DESIGN CENTER PLACE, SUITE 850 BOSTON, MA 02210

Chairman

2 Reporting Owners

Signatures

Michael G. Andrews under POA for the benefit of Koch C. James

01/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares reported include 654 shares of restricted stock subject to vesting conditions. Restricted shares granted pursuant to the Issuer's (1) Employee Equity Incentive Plan generally vest in five equal installments over a five year period, provided that the Reporting Person remains employed by the Issuer as of the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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