

MINERALS TECHNOLOGIES INC
Form 3
January 23, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|---|---|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>ARGIRAKIS BRETT</p> <p>(Last) (First) (Middle)</p> <p>622 THIRD AVENUE, 38TH FLOOR</p> <p>(Street)</p> <p>NEW YORK, NY 10017</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/18/2017</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>MINERALS TECHNOLOGIES INC [MTX]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Vice President</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|---|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 2,739 | D | ^ |
| Common Stock | 1,073 | I | 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|---|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Employee Stock Option (Right to Buy) | Â (1) | 01/22/2023 | Common Stock | 927 | \$ 41.29 | D | Â |
| Employee Stock Option (Right to Buy) | Â (2) | 01/22/2024 | Common Stock | 2,655 | \$ 57.965 | D | Â |
| Employee Stock Option (Right to Buy) | Â (3) | 01/20/2025 | Common Stock | 2,630 | \$ 60.185 | D | Â |
| Employee Stock Option (Right to Buy) | Â (4) | 01/19/2026 | Common Stock | 6,501 | \$ 38.285 | D | Â |
| Employee Stock Option (Right to Buy) | Â (5) | 01/17/2027 | Common Stock | 4,271 | \$ 78.025 | D | Â |
| Deferred Restricted Stock Units (DRSUs) | Â (7) | Â (7) | Common Stock | 460 | \$ (6) | D | Â |
| Deferred Restricted Stock Units (DRSUs) | Â (8) | Â (8) | Common Stock | 996 | \$ (6) | D | Â |
| Deferred Restricted Stock Units (DRSUs) | Â (9) | Â (9) | Common Stock | 2,507 | \$ (6) | D | Â |
| Deferred Restricted Stock Units (DRSUs) | Â (10) | Â (10) | Common Stock | 2,486 | \$ (6) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------|-------|
| | Director | 10% Owner | Officer | Other |
| ARGIRAKIS BRETT 622 THIRD AVENUE 38TH FLOOR NEW YORK, NY 10017 | Â | Â | Â Vice President | Â |

Signatures

/s/ Brett Argirakis 01/23/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on January 22, 2013 and vest in three equal annual installments beginning on January 22, 2014.
- (10) DRSUs were granted on January 17, 2017 and vest in three equal annual installments beginning on January 17, 2018.

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- (2) The options were granted on January 22, 2014 and vest in three equal annual installments beginning on January 22, 2015.
- (3) The options were granted on January 20, 2015 and vest in three equal annual installments beginning on January 20, 2016.
- (4) The options were granted on January 19, 2016 and vest in three equal annual installments beginning on January 19, 2017.
- (5) The options were granted on January 17, 2017 and vest in three equal annual installments beginning on January 17, 2018.
- (6) Each DRSU is the economic equivalent of one share of Mineral Technologies Inc. Common Stock.
- (7) DRSUs were granted on January 22, 2014 and vest in three equal annual installments beginning on January 22, 2015.
- (8) DRSUs were granted on January 20, 2015 and vest in three equal annual installments beginning on January 20, 2016.
- (9) DRSUs were granted on January 19, 2016 and vest in three equal annual installments beginning on January 19, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.