

Edgar Filing: Capitol Federal Financial Inc - Form 8-K

Capitol Federal Financial Inc
Form 8-K
April 30, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported)
April 30, 2018

CAPITOL
FEDERAL
FINANCIAL,
INC.

(Exact name
of Registrant
as specified in
its Charter)

| | | |
|--|--------------------------|--------------------------------------|
| Maryland | 001-34814 | 27-2631712 |
| (State or other jurisdiction of incorporation) | (Commission File Number) | (IRS Employer Identification Number) |

700 South
Kansas
Avenue
Topeka,
Kansas
66603
(Address
of
principal
executive
offices)
(Zip
Code)

Registrant's telephone number, including area code:
(785) 235-1341

N/A
(Former
name or
former
address,
if
changed
since
last
report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

ITEM 2.02 RESULTS OF OPERATIONS AND FINANCIAL CONDITION

The Registrant's press release dated April 30, 2018, announcing the acquisition of Capital City Bancshares and financial results for the quarter ended March 31, 2018 is attached hereto as Exhibit 99.1, and is incorporated herein by reference.

ITEM 7.01 REGULATION FD DISCLOSURE

Attached hereto as Exhibit 99.2 and incorporated herein by reference are slides with information pertaining to the acquisition of Capital City Bancshares.

ITEM 8.01 OTHER EVENTS

The Registrant's press release dated April 30, 2018, announcing the acquisition of Capital City Bancshares, is attached hereto as Exhibit 99.3 and is incorporated herein by reference.

ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS

(d) Exhibits

Exhibit 99.1 – Press release dated April 30, 2018 announcing the acquisition of Capital City Bancshares and financial results for the quarter ended March 31, 2018

Exhibit 99.2 – Acquisition of Capital City Bancshares slide presentation

Exhibit 99.3 – Press release dated April 30, 2018 announcing the acquisition of Capital City Bancshares

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 30, 2018

CAPITOL FEDERAL FINANCIAL,
INC.
By: /s/ Kent G. Townsend

Kent G. Townsend,
Executive Vice-President,
Chief Financial Officer,
and Treasurer