

Johnson Gerald  
Form 3  
April 03, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Johnson Gerald

(Last) (First) (Middle)

300 RENAISSANCE  
CENTER, M/C: 482-C23-A68

(Street)

DETROIT, MI 48265

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/01/2019

3. Issuer Name and Ticker or Trading Symbol  
General Motors Co [GM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner

☒ Officer \_\_\_\_ Other

(give title below) (specify below)

Executive Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

28,550

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Johnson Gerald - Form 3

|                                                        | Date<br>Exercisable | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |          | or Indirect<br>(I)<br>(Instr. 5) |   |
|--------------------------------------------------------|---------------------|--------------------|-----------------|----------------------------------|----------|----------------------------------|---|
| Employee Stock Option<br>(Right to Buy) <sup>(1)</sup> | Â <sup>(1)</sup>    | 07/28/2025         | Common<br>Stock | 22,776                           | \$ 31.32 | D                                | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(2)</sup> | Â <sup>(2)</sup>    | 06/07/2027         | Common<br>Stock | 31,627                           | \$ 34.34 | D                                | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(3)</sup> | Â <sup>(3)</sup>    | 02/11/2028         | Common<br>Stock | 19,022                           | \$ 41.4  | D                                | Â |
| Employee Stock Option<br>(Right to Buy) <sup>(4)</sup> | Â <sup>(4)</sup>    | 02/13/2029         | Common<br>Stock | 21,000                           | \$ 39    | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                    | Relationships |           |                            |       |
|-----------------------------------------------------------------------------------|---------------|-----------|----------------------------|-------|
|                                                                                   | Director      | 10% Owner | Officer                    | Other |
| Johnson Gerald<br>300 RENAISSANCE CENTER<br>M/C: 482-C23-A68<br>DETROIT, MI 48265 | Â             | Â         | Â Executive Vice President | Â     |

## Signatures

/s/ Tia Y. Turk, Attorney-in-Fact for Mr. Johnson

04/03/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These Stock Options were granted on July 28, 2015, (the "Grant Date") under the Company's 2014 Long-Term Incentive Plan (the "DSV Options"). The DSV Options will vest on February 15, 2020, provided that the Company meets or exceeds the median total shareholder return of the 14 original equipment manufacturers (other than GM) on the Dow Jones Automobiles and Parts Titans 30 Index on the Grant Date for the performance period from July 28, 2015, through December 31, 2019.
- (2) These Stock Options were granted on June 7, 2017, under the Company's 2017 Long-Term Incentive Plan (the "2017 LTIP"). Two-thirds of the 31,627 Stock Options granted have vested; the remaining one-third will vest on February 14, 2020.
- (3) These Stock Options were granted on February 13, 2018, under the Company's 2017 LTIP. One-third of the 19,022 Stock Options granted have vested; one-third will vest on February 13, 2020; and one-third will vest on February 13, 2021.
- (4) These Stock Options were granted on February 13, 2019, under the Company's 2017 LTIP. One-third of the 21,000 Stock Options granted will vest on February 13, 2020; one-third will vest on February 13, 2021; and one-third will vest on February 13, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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