

Klindt Jill  
Form 4  
March 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Klindt Jill

(Last) (First) (Middle)

2900 UNIVERSITY BOULEVARD

(Street)

AMES, IA 50010

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

WORKIVA INC [WK]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/01/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

VP, Treasurer & CAO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Class A Common Stock	03/01/2018		A <sup>(1)</sup>		6,579 <sup>(2)</sup>	\$ 22.8	35,229 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option to Purchase Class A Common Stock <u>(1)</u>	\$ 18.6	03/01/2018		A	0	07/03/2018 <sup>(3)</sup> 07/02/2027	Class A Common Stock	0
Employee Stock Option to Purchase Class A Common Stock <u>(1)</u>	\$ 12.4					02/01/2018 <sup>(3)</sup> 02/01/2027	Class A Common Stock	10,000
Employee Stock Option to Purchase Class A Common Stock <u>(1)</u>	\$ 14.03					04/01/2016 <sup>(4)</sup> 08/31/2025	Class A Common Stock	16,000
Employee Stock Option to Purchase Class A Common Stock <u>(5)</u>	\$ 15.83					08/12/2015 <sup>(3)</sup> 08/11/2024	Class A Common Stock	11,880
Employee Stock Option to Purchase Class A Common Stock <u>(5)</u>	\$ 15.86					01/14/2015 <sup>(3)</sup> 01/13/2024	Class A Common Stock	5,544

Employee Stock Option to Purchase	\$ 15.86	01/14/2014 <sup>(3)</sup>	01/13/2024	Class A Common Stock	911
Class A Common Stock <sup>(5)</sup>					
Employee Stock Option to Purchase	\$ 8.48	12/28/2013 <sup>(3)</sup>	12/27/2022	Class A Common Stock	5,940
Class A Common Stock <sup>(5)</sup>					

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Klindt Jill 2900 UNIVERSITY BOULEVARD AMES, IA 50010			VP, Treasurer & CAO	

## Signatures

/s/ Troy M. Calkins as attorney-in-fact for Jill E.  
Klindt

03/02/2018

\_\_\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted pursuant to the 2014 Equity Incentive Plan.

(2) Vests in a single installment on the third anniversary of the grant date.

(3) Vests as to 25% of the shares on the first anniversary of the grant date and then 6.25% of the shares at the end of each three-month period thereafter.

(4) Vests as to 25% of the shares on April 1, 2016 and as to 6.25% of the shares at the end of each three-month period thereafter.

(5) Granted pursuant to the 2009 Unit Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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