

CIENA CORP

Form 3

November 04, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Rothenstein David M

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/31/2008

3. Issuer Name and Ticker or Trading Symbol
CIENA CORP [CIEN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Sr. VP, General Counsel

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

C/O CIENA CORPORATION,Â 1201 WINTERSON ROAD

(Street)

LINTHICUM,,Â MDÂ 21090

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

25,160 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	Â (2)	06/10/2015	Common Stock	3,571	\$ 16.52	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	10/26/2014	Common Stock	2,678	\$ 16.87	D	Â
Non-Qualified Stock Option (right to buy)	Â (4)	10/26/2015	Common Stock	3,571	\$ 17.43	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	12/10/2014	Common Stock	2,142	\$ 19.95	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	07/26/2014	Common Stock	3,214	\$ 20.65	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	11/05/2013	Common Stock	1,320	\$ 46.9	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	10/16/2011	Common Stock	2,692	\$ 114.66	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rothenstein David M C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM,, MD 21090	Â	Â	Â Sr. VP, General Counsel	Â

Signatures

By: Erik Lichter For: David M.
Rothenstein

11/04/2008

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Shares reported include restricted stock unit (RSU) awards previously granted representing, in the aggregate, 22,114 shares that remain
- (1) unvested as of the date of this report. Shares subject to these awards vest over a four-year period from the date of grant with one-sixteenth of the grant amount vesting on March 20, June 20, September 20, and December 20 of each year.
 - (2) The remaining 595 unvested options vest in equal monthly amounts on the last day of each month through 6/30/2009.
 - (3) Option is fully vested.
 - (4) The remaining 893 unvested options vest in equal monthly amounts on the last day of each month through 10/31/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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