SANUWAVE Health, Inc. Form 4

September 30, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

Rubino Alan L

(First) (Middle) (Last)

3360 MARTIN FARM ROAD. SUITE 100

(Street)

(State)

SUWANEE, GA 30024

(City)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

SANUWAVE Health, Inc. [SNWV]

3. Date of Earliest Transaction

(Month/Day/Year) 10/01/2015

Symbol

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

(Check all applicable)

10% Owner

Other (specify

Applicable Line)

X_ Director

Officer (give title

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

(Zip)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

Transaction(s) (Instr. 3 and 4)

(Instr. 4) (Instr. 4)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

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Number:

Expires:

response...

Estimated average

burden hours per

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code V Amount (D) Price

(A)

or

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SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Securities Code Acquired (A) or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amour Underlying Securit (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative		(Month/Day/Year) (Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)							
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Title Date	Title	Amo Num Share
Options	\$ 0.11	10/01/2015		A	150,795		<u>(1)</u>	10/01/2025	Common Stock, \$0.001 par value	150
Options	\$ 0.5	10/01/2015		A	99,205		<u>(2)</u>	10/01/2025	Common Stock, \$0.001 par value	99,
Options	\$ 0.5	03/31/2016		D		99,205	(3)	10/01/2025	Common Stock, \$0.001 par value	99,
Options	\$ 0.06	03/31/2016		A	99,205		(3)	10/01/2025	Common Stock, \$0.001 par value	99,
Options	\$ 0.04	06/16/2016		A	200,000		<u>(4)</u>	06/15/2026	Common Stock, \$0.001 par value	200

Reporting Owners

Reporting Owner Name / Address	Keiauonsnips					
	Director	10% Owner	Officer	Other		
Rubino Alan L						
3360 MARTIN FARM ROAD, SUITE 100	X					

SUWANEE, GA 30024

Signatures

Reporting Person

/s/ Alan L.
Rubino

**Signature of Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option for 150,795 shares fully vested on October 1, 2015.
- (2) This option for 99,205 shares fully vested on October 1, 2015.

Reporting Owners 2

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- (3) This option for 99,205 shares had an adjustment to the exercise price from \$0.50 to \$0.06 per section 4 of the stock option agreement and SEC Registration File No. 333-208676
- (4) This option for 200,000 shares fully vested on June 16, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.