Edgar Filing: Hainey Alan L - Form 4

Hainey Alan Form 4	L										
July 15, 2009	1	STATES		ITIES A hington,			NGE (COMMISSION		PPROVAL 3235-0287	
Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. r Filed purs inue. Section 17(a	suant to s a) of the	F CHAN	GES IN I SECUR	BENEFI ITIES e Securiti ling Com	CIA ies Ex ipany	chang Act o	NERSHIP OF ge Act of 1934, f 1935 or Sectic 40	Expires: Estimated a burden hou response	irs per	
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Hainey Alan L			2. Issuer Name and Ticker or Trading Symbol NEW YORK MORTGAGE TRUST INC [NYMT]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) (N NUE OF THE S, 7TH FLOOR	fiddle)	3. Date of (Month/Da 07/13/20	-	ansaction			X Director Officer (give below)		6 Owner er (specify	
				ndment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
(Instr. 3) any		Execution any	on Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$.01 per share	07/13/2009			Code V	Amount 6,000 (1)	or (D) A	Price \$ 0	(Instr. 3 and 4) 7,700	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Fg	Director	10% Owner	Officer	Other			
Hainey Alan L 1301 AVENUE OF THE AMERICAS 7TH FLOOR NEW YORK, NY 10019	Х						
Signatures							
/s/Steven R. Mumma, Attorney-in-fact	07/14						
**Signature of Reporting Person	Da	te					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock issued pursuant to New York Mortgage Trust's 2005 Stock Incentive Plan. One-third of the shares became(1) fully vested and non-forfeitable on the date of grant (07/13/2009), with the balance of the restricted shares vesting ratably over two years on the first and second anniversaries of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.