Edgar Filing: DUPONT E I DE NEMOURS & CO - Form 4

DUPONT E I DE NEMOURS & CO Form 4 December 16, 2015 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading BOREL JAMES C Issuer Symbol DUPONT E I DE NEMOURS & CO (Check all applicable) [DD] (Middle) 10% Owner (Last) (First) 3. Date of Earliest Transaction Director X_Officer (give title Other (specify (Month/Day/Year) below) below) CHESTNUT RUN PLAZA 730, 974 12/14/2015 Executive Vice President **CENTRE ROAD** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting WILMINGTON, DE 19805 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities Acquired (A) 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Price Code V Amount (D) Common 164,836.9726 \$ D 12/14/2015 310.027 Α A Stock 67.92 (1) **DuPont** Retirement Common \$ 13,066.5134 I 12/14/2015 72.6969 Α Α 68.06 (2) Stock Savings Plan DuPont Retirement Common \$ 67.92 3.8142 Α 685.5514 (2) I Savings 12/14/2015 Α Stock Restoration

Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5. Number of		6. Date Exercisable and		7. Title and Amount of		
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		•			· •		Underlying Securities	
Security	or Exercise		any	Code	Securities		(Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)						
	Derivative				Disposed of (D)						
	Security				(Instr. 3, 4, and						
					5)						
				Code V		(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
				Coue v	(A)	(D)				Shares	
DuPont									~		
Common Stock	<u>(3)</u>	12/14/2015		A <u>(4)</u>	114.1475		(5)	(5)	Common Stock	114.1475	
Units											

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BOREL JAMES C CHESTNUT RUN PLAZA 730 974 CENTRE ROAD WILMINGTON, DE 19805			Executive Vice President					
Signatures								

Erik T. Hoover by Power of Attorney 12/16/2015

<u>**Signature of Reporting Person</u>

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes direct ownership, unvested RSUs and vested deferred stock units; reflects adjustment to total securities reported on Table I of previously filed Forms 4, including as a result of the spinoff of the Performance Chemicals business.
- (2) Reflects adjustment to total indirect securities reported on Table I of previously filed Forms 4, including as a result of the spinoff of the Performance Chemicals business.
- (3) Units convert one-for-one to DuPont common stock.
- (4) Dividend equivalents credited as stock units under the DuPont Equity and Incentive Plan.
- (5) Units become payable in common stock upon reporting person's retirement.

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- (6) Price used to calculate dividend equivalents.
- (7) Reflects adjustment to total securities reported on Table II of previously filed Forms 4, including as a result of the spinoff of the Performance Chemicals business.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.