FORMFACTOR INC

Form 4 May 07, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005 Estimated average

burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* **SLESSOR MIKE** 

(First)

(Street)

(State)

7005 SOUTHFRONT ROAD

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

FORMFACTOR INC [FORM]

3. Date of Earliest Transaction

(Month/Day/Year) 05/05/2015

(Check all applicable)

**CEO** 

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below) below)

(Middle)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### LIVERMORE, CA 94551

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-l                           | Derivative  | Secur | ities Acqui  | red, Disposed of,   | or Beneficial                                   | y Owned   |
|--------------------------------------|---|---|--|---|-------|--------------|---|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | ransactiom Dispos<br>Pode (Instr. 3, 4<br>Instr. 8) |       |              | Beneficially Form: Owned Direct ( Following or Indir Reported (I) | Ownership<br>Form:<br>Direct (D)<br>or Indirect | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 05/05/2015                              |   | M                                      | 18,333<br>(1)                                       | A     | \$0          | 187,294   | D   |   |
| Common<br>Stock                      | 05/06/2015                              |   | S                                      | 7,002<br>(2)  | D     | \$<br>8.2511 | 180,292   | D   |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: FORMFACTOR INC - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | ve Expiration Date es (Month/Day/Year) d (A) osed of |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8. D<br>S-(I |
|---|---|---|---|--|---|--------|--|--------------------|---|-------------------------------------|--------------|
|   |   |   |   | Code V                                 | (A)   | (D)    | Date<br>Exercisable                                  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |              |
| Restricted<br>Stock<br>Units                        | \$ 0  | 05/05/2015                              |   | M                                      |   | 18,333 | (3)  | <u>(4)</u>         | Common<br>Stock   | 18,333                              |              |

# **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| SLESSOR MIKE<br>7005 SOUTHFRONT ROAD<br>LIVERMORE, CA 94551 | X             |           | CEO     |       |  |  |  |

## **Signatures**

By: Stuart Merkadeau For: Mike

Slessor 05/07/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents vested shares of common stock issued pursuant to the first conversion of the restricted stock units granted May 5, 2014.
- (2) Represents vested shares of common stock sold to satisfy certain tax withholding obligations associated with the conversion of the restricted stock units.
- The Restricted Stock Units vest and are exercisable in three annual installments on each May 5 of 2015, 2016, and 2017 (or the first market trading day during an open trading window under the Issuer's insider trading policy thereafter if the applicable vesting date is not on a market trading day during an open trading window).
- (4) The restricted stock units released were settled in shares of common stock and were immediately cancelled upon settlement.

#### **Remarks:**

#### THE ATTACHED CONFIRMING STATEMENT GRANTING THE ATTORNEY-IN-FACT THE AUTHORITY TO EXEC

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2