

ROCKWELL AUTOMATION INC
Form 4
November 18, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kulaszewicz Frank C

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL AUTOMATION INC [ROK]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
1201 SOUTH SECOND STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/15/2013

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
SVP

MILWAUKEE, WI 53204

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					182.6689	I	By Savings Plan ⁽¹⁾
Common Stock	11/15/2013		M	934 A \$ 56.36	17,674	D	
Common Stock	11/15/2013		M	800 A \$ 63.59	18,474	D	
Common Stock	11/15/2013		M	1,200 A \$ 29.37	19,674	D	
Common Stock	11/15/2013		M	2,000 A \$ 97	21,674	D	

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Common Stock 11/15/2013 S 4,934 D \$ 112.78 16,740 D ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Employee Stock Option (Right to Buy)	\$ 56.36	11/15/2013		M	934	11/07/2008 11/07/2015	Common Stock	934
Employee Stock Option (Right to Buy)	\$ 63.59	11/15/2013		M	800	12/06/2009 12/06/2016	Common Stock	800
Employee Stock Option (Right to Buy)	\$ 29.37	11/15/2013		M	1,200	12/03/2009 12/03/2018	Common Stock	1,200
Employee Stock Option (right to buy)	\$ 97	11/15/2013		M	2,000	04/01/2012 04/01/2021	Common Stock	2,000

