

ROCKWELL BRUCE M

Form 4

August 01, 2005

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROCKWELL BRUCE M

2. Issuer Name **and** Ticker or Trading
Symbol
ROCKWELL AUTOMATION INC
[ROK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
777 EAST WISCONSIN
AVENUE, SUITE 1400

3. Date of Earliest Transaction
(Month/Day/Year)
07/29/2005

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)
MILWAUKEE, WI 53202

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	07/29/2005		M		334	A	\$ 18.1746	41,665 ⁽¹⁾	D
Common Stock	07/29/2005		M		7,000	A	\$ 16.05	48,665 ⁽¹⁾	D
Common Stock	07/29/2005		M		667	A	\$ 18.05	49,322 ⁽¹⁾	D
Common Stock	07/29/2005		M		666	A	\$ 22.76	49,988 ⁽¹⁾	D
Common Stock	07/29/2005		M		500	A	\$ 30.22	50,488 ⁽¹⁾	D

Edgar Filing: ROCKWELL BRUCE M - Form 4

Common Stock 07/29/2005 S 9,167 D (2) 41,321 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director stock option (right to buy)	\$ 18.1746	07/29/2005		M	334	02/07/2002	02/07/2011	Common Stock	334
Director stock option (right to buy)	\$ 16.05	07/29/2005		M	7,000	07/31/2002	07/31/2011	Common Stock	7,000
Director stock option (right to buy)	\$ 18.05	07/29/2005		M	667	02/06/2003	02/06/2012	Common Stock	667
Director stock option (right to buy)	\$ 22.76	07/29/2005		M	666	02/05/2004 ⁽³⁾	02/05/2013	Common Stock	666
Director stock option (right to	\$ 30.22	07/29/2005		M	500	02/04/2005 ⁽⁴⁾	02/04/2014	Common Stock	500

buy)

Director

stock

option \$ 58.54

(right to

buy)

02/02/2006⁽⁵⁾ 02/02/2015 Common Stock 1,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROCKWELL BRUCE M 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202	X			

Signatures

K. A. Balistreri, Attorney-in-Fact for Bruce M.
Rockwell

08/01/2005

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 9,321 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met and 32,000 shares are held by Trust.

(2) Sale Prices ranged from \$51.54 to \$51.82.

(3) 334 Shares vest on 02/05/2006.

(4) 500 Shares vest on 02/04/2006 on and 500 Shares vest on 02/04/2007.

(5) The option vests in three substantially equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.