Edgar Filing: FARACI JOHN V - Form 4

January 02, 2019 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D. C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction (b). (Print or Type Response) 1. Name and Address of Reporting Person 1 (b). (Print or Type Response) 1. Name and Address of Reporting Person 2 FARACI JOHN V Symbol CONOCOPHILLIPS [COP] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 2. Issuer Name and Ticker or Trading Symbol CONOCOPHILLIPS [COP] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 2. Issuer Name and Ticker or Trading (Street) 4. If Amendment, Date Original Field(Month/Day/Year) 2. Transaction Date 2. Decmed 3. 4. Securities Courties Action 16 (A) or Individual or Joint/Group Filing(Check Applicable Line) CTONOCOPHILLIPS [COP] (Check all applicable) (Street) 4. If Amendment, Date Original Field(Month/Day/Year) 2. Transaction Date 2. Decmed 3. 4. Securities Courties Courties Courties Courties Courties Courties Courties Courties Courties Court of 0. Ownership 7. Nature Person (City) (State) (Zip) Table 1 - Non-Derivative Securities Courties Courties Court of 0. State 0. Ownership 7. Nature Security (Month/Day/Year) 2. Transaction Date 2. Decemed 3. 4. Securities Court (A) or Securities 0. Ownership 7. Nature Security (Month/Day/Year) 2. Transaction Date 2. Decemed 3. 4. Securities 0. Securities 0. Ownership 7. Nature Security (Month/Day/Year) 2. Transaction Date 2. Decemed 3. 4. Securities 0. Securities 0. Ownership 7. Nature Securities 0. State 0. State 0. State 0. State 0. State 0. State 0. Securities 0. Securities 0. Securities 0. State 0. Securities 0. Securities 0. Securities 0. Securities 0. State 0. Securities 0. State 0. Securities 0. Securities 0. Securities 0. State 0. Securities 0. Securities 0. Securities 0. State 0. State 0. Securitie	FARACI JOHN	V									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Washington, D.C. 20549 Check this box is subject to Section 16. Form 4 or Porm 5 obligation Porm 10 obligation Porm 10 obligation por 2 obligation por 2 obligatio por 2 obligation por 2 obligatio por 2 obligation por	Form 4										
Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB 2235-0 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January Estimated average burden hours per response 1. Name and Address of Reporting Person 1(b). Filed pursuant to Section 16(a) of the Envestment Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). S. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person 1(b). 2. Issuer Name and Ticker or Trading Symbol CONOCOPHILLIPS [COP] S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Midle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) (Issue) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) Midvidual or Joint/Group Filing(Check Applicable tar) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Month/Day/Year) S. Amount of Applicable tar) 6. Ownership Form. Direct 6. Ownership Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Month/Day/Year) 6. Ownership Form. Direct 7. Nature									OMB A	PPROVAL	
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obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer FARACI JOHN V Symbol CONOCOPHILLIPS [COP] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 16930 PARK ROW DR. 12/31/2018 — — 10% Owner (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line)	if no longer subject to Section 16. Form 4 or	STATEN		F CHAN	IGES IN SECUI	BENEF	ICIAL O		Estimated burden hou response	average urs per	31, 005 0.5
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Security (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Instr. 3, 4 and 5) (Instr. 4)	(City) (State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.	Security (Mon		Execution any	Date, if	Transactio Code (Instr. 8)	nAcquired Disposed (Instr. 3,	(A) or of (D) 4 and 5) (A) or	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Beneficial Ownership	
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control	Reminder: Report on	a separate line	for each cla	ass of secu	urities bene	Perso inforr requi	ons who res nation cont red to resp	spond to the colle tained in this forn ond unless the fo	n are not rm		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price c
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof	Expiration Date	Underlying Securities	Derivativ
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr. 5)

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	Derivative Security				Acqui (A) or Dispo of (D) (Instr. and 5)	r osed) . 3, 4,					
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Units	<u>(1)</u>	12/31/2018	А		198		(2)	(2)	Common Stock	198	\$ 62.207

Reporting Owners

Reporting Owner Name / Address		Relationsh	ips	
r o	Director	10% Owner	Officer	Other
FARACI JOHN V 16930 PARK ROW DR. HOUSTON, TX 77084	Х			

Signatures

Shannon B. Kinney, Attorney in Fact (by Power of Attorney filed with the Commission on
August 2, 2017)01/02/2019

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock units convert to ConocoPhillips common stock on a 1-for-1 basis.
- (2) The reporting person has elected to receive payment as a lump sum six months following separation from service, which election may be changed by the reporting person to provide for deferred payments.
- (3) Includes units acquired through routine dividend transactions that are exempt under rule 16a-11.

Remarks:

See attached footnotes page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date