Edgar Filing: Yu K Peony - Form 4

Yu K Peony Form 4 September 05, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 of boligations ago (ntime, See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Stimated urage burden hours per response of Securities Exchange Act of 1935, or Section 1(b).						
(Print or Type Responses)						
1. Name and Address of Reporting Person <u>*</u> Yu K Peony	2. Issuer Name and Ticker or Trading Symbol FIBROGEN INC [FGEN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) C/O FIBROGEN, INC., 409 ILLINOIS ST.	3. Date of Earliest Transaction (Month/Day/Year) 08/31/2018	Director 10% Owner X Officer (give title Other (specify below) below) Chief Medical Officer				
(Street) SAN FRANCISCO, CA 94158	4. If Amendment, Date Original Filed(Month/Day/Year)	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City) (State) (Zip)	Table I - Non-Derivative Securities	Person Acquired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deen Execution any (Month/E	ned 3. 4. Securities Acquire	d 5. Amount of 6. 7. Nature of				
Common	Code V Amount (D) Pr	(Instr. 3 and 4)				
08/31/2018 Stock	M 1,800 A \$5.	95 149,269 D				
Common 08/31/2018 Stock	M 5,000 A ^{\$} 14.5	575 ^{154,269} D				
Common 09/04/2018 Stock	F 994 (1) D \$6	1.05 153,275 D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate	7. Title and Underlying ((Instr. 3 and	Securities	8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 5.95	08/31/2018		М	1,800	(2)	06/27/2022	Common Stock	1,800	
Stock Option (Right to Buy)	\$ 14.575	08/31/2018		М	5,000	(2)	03/19/2024	Common Stock	5,000	

Reporting Owners

Relationships			
Director	10% Owner	Officer	Other
		Chief Medical Officer	
	Director	Director 10% Owner	Director 10% Owner Officer Chief Medical Officer

/s/ Dorothy Pacini,	
Attorney-in-fact	09/05/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by the issuer to satisfy a tax obligation realized by the reporting person upon the vesting of restricted stock units.
- (2) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

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