

Bales Brian A
Form 3
August 01, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Bales Brian A

(Last) (First) (Middle)

18500 NORTH ALLIED WAY

(Street)

PHOENIX,Â AZÂ 85054

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/24/2018

3. Issuer Name and Ticker or Trading Symbol
REPUBLIC SERVICES, INC. [RSG]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
EVP, Chief Development Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

13,504

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: Bales Brian A - Form 3

				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (02/08/2013 Grant Date)	Â <u>(1)</u>	02/08/2020	Common Stock	22,831	\$ 31.12	D	Â
Non-Qualified Stock Options (02/07/2014 Grant Date)	Â <u>(1)</u>	02/07/2021	Common Stock	21,127	\$ 33.4	D	Â
Restricted Stock Units (02/08/2013 Grant Date)	Â <u>(2)(3)</u>	Â <u>(2)(3)</u>	Common Stock	5,330	\$ <u>(2) (3)</u>	D	Â
Restricted Stock Units (02/13/2015 Grant Date)	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	8,794	\$ <u>(4)</u>	D	Â
Restricted Stock Units (02/18/2016 Grant Date)	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	8,106	\$ <u>(4)</u>	D	Â
Restricted Stock Units (02/18/2016 Grant Date)	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock	5,792	\$ <u>(5)</u>	D	Â
Restricted Stock Units (02/18/2017 Grant Date)	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	5,942	\$ <u>(4)</u>	D	Â
Restricted Stock Units (02/18/2017 Grant Date)	Â <u>(5)</u>	Â <u>(5)</u>	Common Stock	1,697	\$ <u>(5)</u>	D	Â
Restricted Stock Units (02/18/2018 Grant Date)	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	5,429	\$ <u>(3)</u>	D	Â
Stock Units <u>(6)</u>	Â <u>(6)</u>	Â <u>(6)</u>	Common Stock - Settled in Cash <u>(6)</u>	25,422	\$ <u>(6)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bales Brian A 18500 NORTH ALLIED WAY PHOENIX, AZ 85054	Â	Â	Â EVP, Chief Development Officer	Â

Signatures

/s/ Eileen B. Schuler
Attorney-in-Fact

08/01/2018

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Edgar Filing: Bales Brian A - Form 3

Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended.

- (2) Represents the deferred portion of the Restricted Stock Unit Grant dated 02/08/2013 in accordance with the Reporting Person's election under the Company's Deferred Compensation Plan.

Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock

- (3) Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

Grant vesting occurs 25% on each of the first four anniversaries of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended. Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the

- (4) Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion). A portion of the Restricted Stock Unit Grant is deferred in accordance with the Reporting Person's election under the Company's Deferred Compensation Plan.

Special Grant cliff vesting occurs four years of the Grant Date in accordance with the Company's 2007 Stock Incentive Plan, as amended.

- (5) Each Restricted Stock Unit receives quarterly dividend equivalents each time a dividend is paid on the Company's common stock and ultimately will be paid out in the form of one share of the Company's common stock (1 to 1 conversion).

Stock Units held under the Republic Services Stock Investment Fund ("Investment Fund") pursuant to the Reporting Person's election under the Company's Deferred Compensation Plan. The Investment Fund is a measurement fund under which units are equal in value to shares of the Company's common stock and are settled in cash and receive dividend equivalents, in the form of additional Stock Units, each time a dividend is paid on the Company's common stock.

- (6)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.