Edgar Filing: Schwarz Glenda Mae - Form 4

| Schwarz Glenda | Mae | | | | | | | | | |
|---|-----------------------------------|---|--|--|---|--|---|--|---|--|
| Form 4 February 15, 202 | 18 | | | | | | | | | |
| FORM 4 | 1 | | | | | | | | PPROVAL | |
| | UNITED | STATES | | RITIES . Ishingtor | | | E COMMISSIO | N OMB Number: | 3235-0287 | |
| Check this bo if no longer subject to Section 16. Form 4 or | | NGES IN SECU | Estimated burden hol response | urs per | | | | | | |
| Form 5 obligations may continue <i>See</i> Instructio 1(b). | Section 17(| a) of the l | Public U | Itility Ho | lding Co | | nge Act of 1934 of 1935 or Secti 1940 | | | |
| (Print or Type Resp | onses) | | | | | | | | | |
| 1. Name and Addre Schwarz Glenda | | Person * | Symbol | er Name ar COPHIL | | - | 5. Relationship Issuer | | | |
| (Last) | (First) (| Middle) | 3. Date of | of Earliest | Fransaction | | (Cn | eck all applicabl | le) | |
| 600 NORTH D. | AIRY ASHF | ORD | (Month/) 02/13/2 | Day/Year) 2018 | | | Director X Officer (gi below) | | % Owner her (specify | |
| (Street) HOUSTON, TX 77079 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| 110051010, 12 | X //0// | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivative | e Securities A | Acquired, Disposed | of, or Beneficia | ally Owned | |
| | ransaction Date onth/Day/Year) | 2A. Deem Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) | 4. Securi onAcquired Disposed (Instr. 3, | l (A) or l of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | or (D) Price | (Instr. 3 and 4) | | | |
| Reminder: Report o | on a separate line | e for each cl | ass of sec | urities bene | eficially ow | ned directly | or indirectly. | | | |
| | | | | | inforı requi | nation con red to resp ays a curre | spond to the colle tained in this form ond unless the fo ntly valid OMB co | n are not orm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Price |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|----------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | Deriva |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Securit |

Edgar Filing: Schwarz Glenda Mae - Form 4

| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. | 8) | Acquire (A) or Dispose (D) (Instr. 3 and 5) | d of | | | | | (Instr. |
|----------------|------------------------------------|------------|------------------|---------|----|--|------|---------------------|--------------------|-----------------|--|---------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Units | <u>(1)</u> | 02/13/2018 | | А | | 5,344 | | (2) | (3) | Common Stock | 5,344 | \$ (|
| Stock Units | <u>(1)</u> | 02/13/2018 | | А | | 4,443 | | (4) | (3) | Common Stock | 4,443 | \$ (|

Reporting Owners

| Reporting Owner Name / Address | | Rel | | |
|--|----------------------------|-----|-----------------|-------|
| | Director 10% Owner Officer | | Officer | Other |
| Schwarz Glenda Mae 600 NORTH DAIRY ASHFORD HOUSTON, TX 77079 | | | VP & Controller | |

Signatures

| Anna Jones, Attorney in Fact (by Power of Attorney filed with the Commission on February | 02/15/2018 |
|--|------------|
| 6, 2018) | 02/15/2018 |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock units represent ConocoPhillips common stock on a 1-for-1 basis.

Stock unit grant settles 3 years from date of grant, but may be eligible for full or partial early settlement upon termination of employment(2) after attainment of age 55 with five years of service, layoff, death or disability, or a change of control. The reporting person may also elect to defer all or part of the settlement value of the units to a later date.

(3) The stock units do not have an expiration date.

The stock units will be forfeited if the reporting person separates from service prior to the end of an escrow period ending on the earliest to occur of the following: (a) termination of employment as a result of layoff; (b) termination of employment after attainment of age 55 with five years of service; (c) termination of employment due to death or total disability; (d) termination of employment following a

(4) change in control; or (e) February 17, 2018. During the escrow period, the reporting person may not dispose of the stock units. The stock units will be settled in cash on the later of (a) the end of the escrow period or (b) the earlier of (i) death (ii) February 17, 2018 or (iii) six months after separation from service in which case the stock units will be settled in cash based on the fair market value of the units on that date. The reporting person may also elect to defer all or part of the settlement value of the units to a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date