

HALCON RESOURCES CORP
Form 3
June 13, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â HICKS QUENTIN R		(Month/Day/Year)	HALCON RESOURCES CORP [HK]	
(Last)	(First)	(Middle)	06/02/2016	
1000 LOUISIANA ST. SUITE 6700			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
HOUSTON,Â TXÂ 77002			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			SVP, Finance and Investor Rela	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	60,671	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	--	--	---

Edgar Filing: HALCON RESOURCES CORP - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (1)	08/01/2022	Common Stock	7,000	\$ 31.3	D	Â
Employee Stock Option (right to buy)	Â (2)	10/26/2022	Common Stock	4,000	\$ 33.55	D	Â
Employee Stock Option (right to buy)	Â (3)	02/28/2023	Common Stock	5,980	\$ 35.5	D	Â
Employee Stock Option (right to buy)	Â (4)	08/01/2023	Common Stock	1,580	\$ 29.25	D	Â
Employee Stock Option (right to buy)	Â (5)	02/27/2024	Common Stock	19,360	\$ 18.35	D	Â
Employee Stock Option (right to buy)	Â (6)	12/08/2024	Common Stock	30,520	\$ 7.85	D	Â
Employee Stock Option (right to buy)	Â (7)	04/24/2025	Common Stock	7,000	\$ 7.85	D	Â
Employee Stock Option (right to buy)	Â (8)	12/03/2025	Common Stock	60,015	\$ 2.7615	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HICKS QUENTIN R 1000 LOUISIANA ST. SUITE 6700 HOUSTON, TX 77002	Â	Â	Â SVP, Finance and Investor Rela	Â

Signatures

David S. Elkouri,
Attorney-in-fact

06/13/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in three equal annual installments beginning August 1, 2013.
- (2) The option vests in three equal annual installments beginning October 26, 2013.
- (3) The option vests in three equal annual installments beginning February 28, 2014.
- (4) The option vests in three equal annual installments beginning August 1, 2014.
- (5) The option vests in three equal annual installments beginning February 27, 2015.
- (6) The option vests in three equal annual installments beginning December 8, 2015.
- (7) The option vests in three equal annual installments beginning April 24, 2016.

Edgar Filing: HALCON RESOURCES CORP - Form 3

(8) The option vests in three equal annual installments beginning December 3, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.