CABOT CORP Form 3 April 11, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CABOT CORP [CBT]

(Print or Type Responses)

Person *

1. Name and Address of Reporting

A Kalkstein Hobart (Month/Day/Year) 04/07/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CABOT (Check all applicable) CORPORATION, TWO **SEAPORT LANE. SUITE 1300** 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Senior Vice President _X_ Form filed by One Reporting Person BOSTON. MAÂ 02210 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock $18,152.902 \frac{(1)}{2}$ D Held jointly by the Hobart C. Kalkstein Revocable Trust and Common Stock Ι 14,130 Andrea H. Kalkstein Revocable Through the Trustee for the $I^{(2)}$ Common Stock 5,818.0574 Corporation's 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/Year)	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration sable Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(3)	05/06/2019	Common Stock	16,300	\$ 16.9	D	Â
Employee Stock Option (Right to Buy)	(4)	11/13/2024	Common Stock	4,017	\$ 46.03	D	Â
Employee Stock Option (Right to Buy)	(5)	11/11/2025	Common Stock	5,763	\$ 39.54	D	Â
Phantom Stock	(6)	(6)	Common Stock	1,980.476	\$ <u>(6)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Kalkstein Hobart					
C/O CABOT CORPORATION	â	â	Senior Vice President	â	
TWO SEAPORT LANE, SUITE 1300	A	А	A Sellior vice President	A	
BOSTON, MA 02210					

Signatures

By: Karen Kalita, pursuant to a power of attorney from Hobart C. Kalkstein

04/11/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,259 performance based units earned on the basis of the Corporation's performance in fiscal year 2014 and 2015 (which remain subject to time-based vesting) and 7,140 units subject to time-based vesting.
- (2) Reflects retirement plan contributions by the Corporation.
- $\textbf{(3)} \quad \text{The option vested over a three year period as follows: } 30\% \text{ on May 7, 2010, } 30\% \text{ on May 7, 2011 and } 40\% \text{ on May 7, 2012.}$
- (4) The option vests over a three year period as follows: 30% on November 14, 2015, 30% on November 14, 2016 and 40% on November 14, 2017.
- (5) The option vests over a three year period as follows: 30% on November 12, 2016, 30% on November 12, 2017 and 40% on November 12, 2018
- (6) Each share of phantom stock is the economic equivalent of one share of Cabot Corporation common stock. The shares of phantom stock will be settled in shares of Cabot Corporation common stock upon termination of his employment with Cabot Corporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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