#### MONRO MUFFLER BRAKE INC

Form 5 May 12, 2015

HOLDINGS

Common

Common

Common

Stock

Stock

Stock

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer BERENSON RICHARD A Symbol MONRO MUFFLER BRAKE INC (Check all applicable) [MNRO] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner Officer (give title (Month/Day/Year) Other (specify below) below) 03/28/2015 200 HOLLEDER PARKWAY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) ROCHESTER, NYÂ 14615 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if Transaction or Disposed of (D) of Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4)

Amount

15,100 D

10,000

9.799

Â

Â

 $F4^{(1)}$ 

M4

M4

Â

Â

Â

Â

11/20/2014

11/20/2014

11/20/2014

(D)

Â

Α

Α

Price

\$ 52.15 7,159

\$ 35.18 17,159

\$ 44.46 26,958

22,259

D

D

D

D

À

٠		

Â

Â

Â

Â

#### Edgar Filing: MONRO MUFFLER BRAKE INC - Form 5

Common Stock	12/04/2014	Â	F4(2)	200	D	\$ 55.05	26,758	D	Â
Common Stock	12/04/2014	Â	M4	201	A	\$ 44.46	26,959	D	Â
Common Stock	01/09/2015	Â	F4(3)	11,450	D	\$ 57	15,509	D	Â
Common Stock	01/09/2015	Â	M4	10,260	A	\$ 26.64	25,769	D	Â
Common Stock	01/09/2015	Â	M4	10,000	A	\$ 35.57	35,769	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	36,292 (4)	D	Â
Common Stock	02/27/2015	Â	S4	30,000	D	\$ 63.902	6,292	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount (Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Options (Right to Buy)	\$ 35.18	11/20/2014	Â	M4	Â	10,000	08/07/2012	08/06/2017	Common Stock	10,00
Options (Right to Buy)	\$ 44.46	11/20/2014	Â	M4	Â	9,799	08/06/2013	08/05/2018	Common Stock	9,799
Options (Right to Buy)	\$ 44.46	12/04/2014	Â	M4	Â	201	08/06/2013	08/05/2018	Common Stock	201
Options (Right to Buy)	\$ 26.64	01/09/2015	Â	M4	Â	10,260	08/10/2010	08/09/2015	Common Stock	10,26
	\$ 35.57	01/09/2015	Â	M4	Â	10,000	08/09/2011	08/08/2016		10,00

Options Common (Right to Stock Buy)

## **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
BERENSON RICHARD A	٠	<b>^</b>	•	<b>^</b>			
200 HOLLEDER PARKWAY	ÂΧ	Â	A	Α			
ROCHESTER, NY 14615							

# **Signatures**

/s/ Richard A.
Berenson

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As permitted pursuant to the terms of the 2007 Stock Option Plan, the reporting person delivered these shares to the Issuer in order to pay (1) for the exercise of options reported on Tables I and II. The shares were valued at the closing price for the Issuer's stock on November 20, 2014, the date on which the reporting person exercised the options.
- As permitted pursuant to the terms of the 2007 Stock Option Plan, the reporting person delivered these shares to the Issuer in order to pay (2) for the exercise of options reported on Tables I and II. The shares were valued at the closing price for the Issuer's stock on December 4, 2014, the date on which the reporting person exercised the options.
- As permitted pursuant to the terms of the 2007 Stock Option Plan, the reporting person delivered these shares to the Issuer in order to pay (3) for the exercise of options reported on Tables I and II. The shares were valued at the closing price for the Issuer's stock on January 9, 2015, the date on which the reporting person exercised the options.
- Reporting Person identified a clerical error from February 2014, December 2014 and January 9, 2015 in which 72, 37 and 414, shares of (4) the Issuer's stock were not reported in the number of securities beneficially owned. The Reporting Person has corrected the error with this filing.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3