VIASAT INC Form 4 November 12, 2014

stock

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **Duffy Shawn Lynn** Issuer Symbol VIASAT INC [VSAT] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X_ Officer (give title 6155 EL CAMINO REAL 11/10/2014 below) below) Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CARLSBAD, CA 92009 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Indirect (I) Ownership (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount Price \$.0001 par value 11/10/2014 M 3.500 \$0 8.920 D Α common stock \$.0001 par value 11/10/2014 $F^{(1)}$ 1,313 D $7,607 \stackrel{(2)}{=}$ D common stock \$.0001 par value $1,158 \frac{(3)}{}$ I By 401(k) common

Edgar Filing: VIASAT INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pric Deriva Securi (Instr.
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
restricted stock unit	\$ 0	11/10/2014		M		1,500	<u>(4)</u>	<u>(5)</u>	common stock	1,500	\$ (
restricted stock unit	\$ 0	11/10/2014		M		1,000	<u>(6)</u>	<u>(5)</u>	common stock	1,000	\$ (
restricted stock unit	\$ 0	11/10/2014		M		1,000	<u>(7)</u>	(5)	common stock	1,000	\$ (

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

rector 10% Owner Officer Other

Duffy Shawn Lynn 6155 EL CAMINO REAL CARLSBAD, CA 92009

Chief Financial Officer

Signatures

Shawn L. Duffy 11/11/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This entry represents the number of shares of ViaSat, Inc. common stock withheld by the Issuer to satisfy the tax withholding obligation of the Reporting Person. These shares were not sold by the Reporting Person but were instead offset from the total number of vested shares received by the Reporting Person from the Issuer.

Reporting Owners 2

Edgar Filing: VIASAT INC - Form 4

- (2) Includes 74 shares purchased under the ViaSat Employee Stock Purchase Plan ("ESPP") on June 30, 2014 and 65 shares purchased under the ESPP on December 31, 2013.
- (3) Includes 168 shares of common stock the reporting person acquired under the ViaSat 401(k)Plan, since the date of the reporting person's last ownership report.
- The original restricted stock unit grant was for 6,000 restricted stock units on 11/12/2012. Subject to the reporting persons election to defer the receipt of the common stock, the units vest and convert into shares of common stock (on a 1 for 1 basis) at the rate of 1/4 on the 13th month anniversary of the grant date; 1/4 on the second anniversary of the grant date; 1/4 on the third anniversary and 1/4 on the fourth anniversary of the grant date.
- (5) Until vested, the restricted stock unit shall be subject to forfeiture in the event of termination of employment or service with the issuer.
- The original restricted stock unit grant was for 4,000 restricted stock units on 11/10/2011. Subject to the reporting person's continued (6) employment with the Issuer, the units vest and convert into shares of common stock (on a 1 for 1 basis) in four equal annual installments beginning on 11/10/2012.
- The original restricted stock unit grant was for 4,000 restricted stock units on 11/10/2010. Subject to the reporting person's continued employment with the Issuer, the units vest and convert into shares of common stock (on a 1 for 1 basis) in four equal annual installments beginning on 11/10/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.