

FORD MOTOR CO
Form 5
February 06, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
Expires: January 31,
2005
Estimated average
burden hours per
response... 1.0

1. Name and Address of Reporting Person *
Thai-Tang Hau N

(Last) (First) (Middle)

ONE AMERICAN ROAD

(Street)

DEARBORN, MI 48126

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
FORD MOTOR CO [F]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Group Vice President

6. Individual or Joint/Group Reporting

(check applicable line)

____X____ Form Filed by One Reporting Person
____ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.01 par value	11/04/2013	Â	G	360 D \$ 0	135,178	I	By Spouse as Trustee (1)
Common Stock, \$0.01 par value	Â	Â	Â	Â Â Â	3,552	I	By Company Plan
Common	Â	Â	Â	Â Â Â	16,110	D	Â

Edgar Filing: FORD MOTOR CO - Form 5

Stock,
\$0.01 par
value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
BEP Ford Stock Fund Units	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Common Stock, \$0.01 par value	71 (2)	
Employee Stock Option (Right to Buy)	\$ 12.49	Â	Â	Â	Â	Â	Â (3)	03/10/2015	Common Stock, \$0.01 par value	25,000 (3)	
Employee Stock Option (Right to Buy)	\$ 7.83	Â	Â	Â	Â	Â	Â (4)	03/09/2016	Common Stock, \$0.01 par value	30,000 (4)	
Employee Stock Option (Right to Buy)	\$ 7.55	Â	Â	Â	Â	Â	Â (5)	03/04/2017	Common Stock, \$0.01 par value	23,123 (5)	
Employee Stock Option (Right to Buy)	\$ 6.14	Â	Â	Â	Â	Â	Â (6)	03/04/2018	Common Stock, \$0.01 par value	33,547 (6)	

Employee Stock Option (Right to Buy)	\$ 12.69	Â	Â	Â	Â	Â	Â	(7)	03/02/2020	Common Stock, \$0.01 par value	14,919 (7)
Employee Stock Option (Right to Buy)	\$ 14.76	Â	Â	Â	Â	Â	Â	(8)	03/02/2021	Common Stock, \$0.01 par value	9,734 (8)
Employee Stock Option (Right to Buy)	\$ 12.46	Â	Â	Â	Â	Â	Â	(9)	03/04/2022	Common Stock, \$0.01 par value	37,414 (9)
Employee Stock Option (Right to Buy)	\$ 12.75	Â	Â	Â	Â	Â	Â	(10)	03/03/2023	Common Stock, \$0.01 par value	29,821 (10)
Ford Stock Units	Â	Â	Â	Â	Â	Â	Â	(11)	Â (11)	Common Stock, \$0.01 par value	10,770 (11)
Ford Stock Units	Â	Â	Â	Â	Â	Â	Â	(12)	Â (12)	Common Stock, \$0.01 par value	1,902 (12)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Thai-Tang Hau N ONE AMERICAN ROAD DEARBORN, MI 48126	Â	Â	Â Group Vice President	Â

Signatures

Jerome F. Zaremba,
Attorney-in-Fact

02/06/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by my spouse as Trustee.

Edgar Filing: FORD MOTOR CO - Form 5

- (2) These Ford Stock Fund Units were acquired under the Company's Benefit Equalization Plan. In general, these Ford Stock Fund Units will be converted and distributed to me, without payment, in cash, following termination of employment, based on the then current price of a Ford Stock Fund Unit and the then current market value of a share of Common Stock.
- (3) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/11/2005), 66% after two years, and in full after three years.
- (4) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/10/2006), 66% after two years, and in full after three years.
- (5) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2007), 66% after two years, and in full after three years.
- (6) The option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2008), 66% after two years, and in full after three years.
- (7) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2010), 66% after two years, and in full after three years.
- (8) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/03/2011), 66% after two years, and in full after three years.
- (9) This option became exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/05/2012), 66% after two years, and in full after three years.
- (10) This option is exercisable to the extent of 33% of the shares optioned after one year from the date of grant (03/04/2013), 66% after two years, and in full after three years.
- (11) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 4, 2015.
- (12) These Ford Restricted Stock Units were acquired under the Company's 2008 Long-Term Incentive Plan without payment by me. These Ford Restricted Stock Units will be converted and distributed to me, without payment, in shares of Common Stock on March 3, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.