## Edgar Filing: Facebook Inc - Form 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed p	EMENT OF CH. Sursuant to Sectio 7(a) of the Public	URITIES AND EXCHA Vashington, D.C. 20549 ANGES IN BENEFICIA SECURITIES n 16(a) of the Securities I Utility Holding Company Investment Company A	AL OWNERSHIP OF Exchange Act of 1934, y Act of 1935 or Section	OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5		
(Print or Type Responses)						
1. Name and Address of Reporti EBERSMAN DAVID A	Symb	suer Name <b>and</b> Ticker or Trad ol book Inc [FB]	ing 5. Relationship of Issuer	Reporting Person(s) to		
(Last) (First)		e of Earliest Transaction	(Check	k all applicable)		
C/O FACEBOOK, INC., 1 WILLOW ROAD		h/Day/Year) 9/2012	below)	Officer (give title Other (specify		
(Street) MENLO PARK, CA 9402	Filed	mendment, Date Original Month/Day/Year)	Applicable Line) _X_ Form filed by C Form filed by M	X_Form filed by One Reporting Person Form filed by More than One Reporting		
(City) (State)	(7:)	able I - Non-Derivative Secu	Person rities Acquired, Disposed of	, or Beneficially Owned		
1.Title of Security (Instr. 3)2. Transaction Dat (Month/Day/Year)		3. 4. Securities Act Transactionor Disposed of ( Code (Instr. 3, 4 and 5 (Instr. 8)	quired (A)     5. Amount of       D)     Securities       D)     Beneficially       Owned     Following       Reported     Transaction(s)       or     (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)		
Class A Common 11/19/2012 Stock		Code V Amount ( $G_{(1)}^{(1)}$ V 2,283,030 I	D) Price	D		
Class A Common 11/19/2012 Stock		G <u>(1)</u> V 2,283,030 A	<b>A</b> \$ 0 2,283,030	I By The Ebersman Family Trust UA DTD 5/29/02 (2)		
Class A Common 12/19/2012 Stock		G <u>(1)</u> V 59,984 I	<b>)</b> \$0 0	D		

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Class A Common Stock	12/19/2012	G <u>(1)</u> V	59,984	Α	\$0	2,343,014	Ι	By The Ebersman Family Trust UA DTD 5/29/02 (2)
Class A Common Stock	12/20/2012	G <u>(3)</u> V	108,090	D	\$ 0	2,234,924	I	By The Ebersman Family Trust UA DTD 5/29/02 (2)
Class A Common Stock	12/20/2012	G <u>(3)</u> V	108,090	A	\$ 0	108,090	I	By The Ebersman Family 2012 Irrevocable Trust (4)
Class A Common Stock	01/31/2013	G <u>(5)</u> V	116,000	D	\$0	2,118,924	I	By The Ebersman Family Trust UA DTD 5/29/02 (2)
Class A Common Stock	01/31/2013	G <u>(5)</u> V	116,000	А	\$ 0	174,367	D	
Class A Common Stock	07/31/2013	G <u>(5)</u> V	200,000	D	\$ 0	1,918,924	Ι	By The Ebersman Family Trust UA DTD 5/29/02 (2)
Class A Common Stock	07/31/2013	G <u>(5)</u> V	200,000	A	\$ 0	271,471 <u>(6)</u>	D	
Class A Common Stock	08/14/2013	S <u>(7)</u>	11,700	D	\$ 36.83	36,371	D	
Class A Common Stock	08/15/2013	S <u>(7)</u>	11,700	D	\$ 36.36	24,671	D	
Class A Common Stock	08/15/2013	С	53,797 <u>(8)</u>	A	\$ 0	78,468	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactiorDerivative Securities Code Acquired (A) or		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4	Sec
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N Sl
Restricted Stock Units (RSU)	<u>(9)</u>	08/15/2013		М		112,500	(10)	10/25/2019	Class B Common Stock (11)	1
Class B Common Stock (11)	<u>(11)</u>	08/15/2013		М	112,500		(11)	(11)	Class A Common Stock	1
Class B Common Stock (11)	<u>(11)</u>	08/15/2013		F		58,703 (12)	(11)	(11)	Class A Common Stock	-
Class B Common Stock (11)	<u>(11)</u>	08/15/2013		С		53,797 (13)	(11)	(11)	Class A Common Stock	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
EBERSMAN DAVID A C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025			Chief Financial Office	r			
Signatures							
/s/ Michael Johnson as attorney-in-fact for David A. Ebersman			08/16/20	)13			

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction represents the transfer of shares for the purposes of estate planning, and not a purchase or sale, of securities by David Ebersman to The Ebersman Family Trust UA DTD 5/29/02, David A. Ebersman and Michelle Ebersman, Trustees.
- (2) Shares held of record by The Ebersman Family Trust UA DTD 5/29/02, David A. Ebersman and Michelle Ebersman, Trustees.

This transaction represents the transfer of shares for the purposes of estate planning, and not a purchase or sale, of securities by The Ebersman Family Trust UA DTD 5/29/02, David A. Ebersman and Michelle Ebersman, Trustees to The Ebersman Family 2012

- (3) Irrevocable Trust, David A. Ebersman and Michelle Ebersman, Trustees, the beneficiaries of which include the reporting person's minor children.
- (4) Shares held of record by The Ebersman Family 2012 Irrevocable Trust, David A. Ebersman and Michelle Ebersman, Trustees, the beneficiaries of which include the reporting person's minor children.
- (5) This transaction represents the transfer of shares for the purposes of estate planning, and not a purchase or sale, of securities by The Ebersman Family Trust UA DTD 5/29/02, David A. Ebersman and Michelle Ebersman, Trustees to David Ebersman.
- (6) Subsequent to this transaction, the reporting person sold an additional 223,400 shares of Class A Common Stock as reported on a Form 4 filed with the SEC on 8/2/2013.
- (7) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in
 (8) connection with the net settlement of restricted stock units (the "RSUs") listed in Table II, based on a price of \$36.64 per share, which represented the closing price of the issuer's Class A Common Stock on August 14, 2013.

(9) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement.

The RSUs were granted with both (a) a liquidity event-based vesting condition and (b) a service-based vesting condition, both of which conditions must be satisfied in order for the RSUs to vest. The liquidity event-based vesting condition was satisfied on November 17,

- (10) 2012. The service-based vesting condition was satisfied as to 1/5th of the total number of shares on September 15, 2010 and then 1/60th of the total number of shares vest monthly thereafter, subject to continued service through each vesting date.
- (11) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (12) Represents shares of Class B Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
- (13) Represents shares of Class B Common Stock that have been converted to Class A Common Stock in connection with the net settlement of the RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.