

CELGENE CORP /DE/  
Form 4  
August 02, 2013

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Alles Mark J

(Last) (First) (Middle)

C/O CELGENE CORPORATION, 86 MORRIS AVENUE

(Street)

SUMMIT, NJ 07901

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CELGENE CORP /DE/ [CELG]

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/31/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				Code	V	Amount				(A) or (D)	Price
Common Stock	07/31/2013		M			558	A	\$ 54.85	5,504	D	
Common Stock	07/31/2013		M			1,393	A	\$ 58.04	6,897	D	
Common Stock	07/31/2013		M			3,657	A	\$ 58.53	10,554	D	
Common Stock	07/31/2013		M			4,875	A	\$ 73.55	15,429	D	
Common Stock	07/31/2013		M			1,219	A	\$ 49.61	16,648	D	

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Common Stock	07/31/2013	M	2,392	A	\$ 62.42	19,040	D
Common Stock	07/31/2013	M	5,000	A	\$ 71.82	24,040	D
Common Stock	07/31/2013	M	5,000	A	\$ 57.8	29,040	D
Common Stock	07/31/2013	M	2,500	A	\$ 50.36	31,540	D
Common Stock	07/31/2013	M	17,787	A	\$ 38.47	49,327	D
Common Stock	07/31/2013	M	3,438	A	\$ 39.01	52,765	D
Common Stock	07/31/2013	M	4,583	A	\$ 46.02	57,348	D
Common Stock	07/31/2013	M	3,437	A	\$ 54.55	60,785	D
Common Stock	07/31/2013	M	3,438	A	\$ 56.99	64,223	D
Common Stock	07/31/2013	M	2,577	A	\$ 61.48	66,800	D
Common Stock	07/31/2013	M	2,577	A	\$ 52.34	69,377	D
Common Stock	07/31/2013	M	1,718	A	\$ 57.88	71,095	D
Common Stock	07/31/2013	M	23,333	A	\$ 59.5	94,428	D
Common Stock	07/31/2013	M	1,718	A	\$ 51.53	96,146	D
Common Stock	07/31/2013	M	3,125	A	\$ 73.92	99,271	D
Common Stock	07/31/2013	M	2,081	A	\$ 72.93	101,352	D
Common Stock	07/31/2013	S	96,406	D	\$ 147.01 <u>(1)</u>	4,946	D
Common Stock	08/02/2013	M	7,500	A	\$ 70.63	12,446	D
Common Stock	08/02/2013	M	7,500	A	\$ 62.35	19,946	D
Common Stock	08/02/2013	S	5,000	D	\$ 146.467	14,946	D
						2,614	I

Common  
Stock401(k)  
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (right to buy)	\$ 54.85	07/31/2013		M	558	<u>(2)</u>	01/09/2017	Common Stock	558
Stock Option (right to buy)	\$ 58.04	07/31/2013		M	1,393	<u>(2)</u>	04/10/2017	Common Stock	1,393
Stock Option (right to buy)	\$ 58.53	07/31/2013		M	3,657	<u>(2)</u>	07/10/2017	Common Stock	3,657
Stock Option (right to buy)	\$ 73.55	07/31/2013		M	4,875	<u>(2)</u>	10/09/2017	Common Stock	4,875
Stock Option (right to buy)	\$ 49.61	07/31/2013		M	1,219	<u>(2)</u>	01/08/2018	Common Stock	1,219
Stock Option (right to buy)	\$ 62.42	07/31/2013		M	2,392	<u>(2)</u>	04/08/2018	Common Stock	2,392

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Stock Option (right to buy)	\$ 71.82	07/31/2013	M	5,000	<u>(2)</u>	07/08/2018	Common Stock	5,000
Stock Option (right to buy)	\$ 57.8	07/31/2013	M	5,000	<u>(2)</u>	10/14/2018	Common Stock	5,000
Stock Option (right to buy)	\$ 50.36	07/31/2013	M	2,500	<u>(2)</u>	01/13/2019	Common Stock	2,500
Stock Option (right to buy)	\$ 38.47	07/31/2013	M	17,787	<u>(2)</u>	04/01/2019	Common Stock	17,787
Stock Option (right to buy)	\$ 39.01	07/31/2013	M	3,438	<u>(2)</u>	04/14/2019	Common Stock	3,438
Stock Option (right to buy)	\$ 46.02	07/31/2013	M	4,583	<u>(2)</u>	07/14/2019	Common Stock	4,583
Stock Option (right to buy)	\$ 54.55	07/31/2013	M	3,437	<u>(2)</u>	10/13/2019	Common Stock	3,437
Stock Option (right to buy)	\$ 56.99	07/31/2013	M	3,438	<u>(2)</u>	01/12/2020	Common Stock	3,438
Stock Option (right to buy)	\$ 61.48	07/31/2013	M	2,577	<u>(2)</u>	04/13/2020	Common Stock	2,577
Stock Option (right to buy)	\$ 52.34	07/31/2013	M	2,577	<u>(2)</u>	07/13/2020	Common Stock	2,577
Stock Option (right to buy)	\$ 57.88	07/31/2013	M	1,718	<u>(2)</u>	10/12/2020	Common Stock	1,718
	\$ 59.5	07/31/2013	M	23,333	<u>(2)</u>	12/27/2020		23,333

Stock Option (right to buy)								Common Stock	
Stock Option (right to buy)	\$ 51.53	07/31/2013	M	1,718	<u>(2)</u>	01/31/2021	Common Stock	1,718	
Stock Option (right to buy)	\$ 73.92	07/31/2013	M	3,125	<u>(2)</u>	03/01/2022	Common Stock	3,125	
Stock Option (right to buy)	\$ 72.93	07/31/2013	M	2,081	<u>(2)</u>	04/30/2022	Common Stock	2,081	
Stock Option (right to buy)	\$ 70.63	08/02/2013	M	7,500	<u>(2)</u>	09/21/2017	Common Stock	7,500	
Stock Option (right to buy)	\$ 62.35	08/02/2013	M	7,500	<u>(2)</u>	11/23/2017	Common Stock	7,500	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Alles Mark J C/O CELGENE CORPORATION 86 MORRIS AVENUE SUMMIT, NJ 07901			See Remarks	

## Signatures

/s/Robert J Hugin \_\_\_\_\_ Robert J Hugin, 08/02/2013  
 Attorney-in-Fact

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price reported in Column 4 is a weighted average price. These shares were sold by the reporting person in multiple transactions at prices ranging from \$146.78 to \$147.23, inclusive. The reporting person undertakes to provide to Celgene Corporation (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.

- (2) The option was fully exercisable.
- (3) The option was issued pursuant to the Company's 2008 Stock Incentive Plan (as amended).

**Remarks:**

Executive Vice President, Global Head Hematology and Oncology

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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