

ALCOA INC.
Form 11-K
June 27, 2016
[Table of Contents](#)

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

FORM 11-K

FOR ANNUAL REPORTS OF EMPLOYEE STOCK
REPURCHASE SAVINGS AND SIMILAR PLANS
PURSUANT TO SECTION 15(d) OF THE
SECURITIES ACT OF 1934

(Mark One):

☒ **ANNUAL REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT OF**
1934
FOR THE YEAR ENDED DECEMBER 31, 2015

☐ **TRANSITION REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT**
OF 1934
FOR THE TRANSITIONAL PERIOD FROM _____ TO _____

COMMISSION FILE NUMBER 001-3610

A. Full title of the plan and the address of the plan, if different from that of the issuer named below:

RTI EMPLOYEE SAVINGS

AND INVESTMENT PLAN

B. Name of issuer of the securities held pursuant to the plan and the address of its principal executive office:

ALCOA, INC.

390 Park Avenue

New York, NY 10022-4608

Table of Contents

RTI EMPLOYEE SAVINGS AND INVESTMENT PLAN

Financial Statements and Supplemental Schedule

As of December 31, 2015 and 2014 and for the Year Ended December 31, 2015

TABLE OF CONTENTS

<u>Required Information/Index to Exhibits</u>	1
<u>Signatures</u>	2
<u>Consent of Independent Registered Public Accounting Firm</u>	3
<u>Report of Independent Registered Public Accounting Firm</u>	4
Financial Statements:	
<u>Statements of Net Assets Available for Benefits</u>	5
<u>Statement of Changes in Net Assets Available for Benefits</u>	6
<u>Notes to Financial Statements</u>	7
Supplemental Schedule:	
<u>Form 5500 Schedule H, Line 4i Schedule of Assets (Held at End of Year)</u>	14

Table of Contents

REQUIRED INFORMATION

The financial statements of the RTI Employee Savings and Investment Plan (the Plan) and accompanying report of the independent registered public accounting firm for such Plan set forth as Exhibit 99.1 are being furnished pursuant to the rules and regulations of the Securities and Exchange Commission.

Index to Exhibits

Exhibit 23.1 Consent of Independent Registered Public Accounting Firm

Exhibit 99.1 Report of Independent Registered Public Accounting Firm and the RTI Employee Savings and Investment Plan Financial Statements as of December 31, 2015 and 2014 and for the year ended December 31, 2015

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant (who administers the employee benefit plan) has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

**RTI EMPLOYEE SAVINGS AND INVESTMENT
PLAN**

By: RTI International Metals, Inc., its administrator

Dated: June 27, 2016

By: /s/ William F. Oplinger
William F. Oplinger
Benefits Management Committee Member

By: /s/ Roy C. Harvey
Roy C. Harvey
Benefits Management Committee Member

By: /s/ Vasantha Nair
Vasantha Nair
Benefits Management Committee Member