

PRUDENTIAL PLC  
Form 6-K  
April 06, 2010

# **SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

## **FORM 6-K**

### **REPORT OF FOREIGN PRIVATE ISSUER**

**Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934**

**For the month of April, 2010**

# **PRUDENTIAL PUBLIC LIMITED COMPANY**

**(Translation of registrant's name into English)**

**LAURENCE POUNTNEY HILL,**

**LONDON, EC4R 0HH, ENGLAND**

**(Address of principal executive offices)**

## Edgar Filing: PRUDENTIAL PLC - Form 6-K

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F. Form 20-F  Form 40-F

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934. Yes  No

If  Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

**NOTIFICATION OF TRANSACTIONS OF DIRECTORS / PERSONS DISCHARGING MANAGERIAL RESPONSIBILITY OR CONNECTED PERSONS**

1. Name of the *issuer*

**Prudential plc**

2. State whether the notification relates to (i) a transaction notified in accordance with *DTR* 3.1.2R; (ii) a disclosure made in accordance LR 9.8.6R(1); or (iii) a disclosure made in accordance with section 793 of the Companies Act (2006)

(i)

3. Name of *person discharging managerial responsibilities/director*

**M Coltman, R Devey, T Lemaire, C P Manning, M G A McLintock, N Nicandrou, B Stowe, T Thiam, P Vacassin, S Whitehead**

4. State whether notification relates to a *person* connected with a *person discharging managerial responsibilities/director* named in 3 and identify the *connected person*

**Relates to persons named in 3 above**

5. Indicate whether the notification is in respect of a holding of the *person* referred to in 3 or 4 above or in respect of a non-beneficial interest

**Relates to persons named in 3 above**

6. Description of *shares* (including *class*), debentures or derivatives or financial instruments relating to *shares*

**Ordinary shares of 5p each**

**American Depository Receipts (ADRs) - representing a ratio 1 ADR equals 2 ordinary shares**

7. Name of registered shareholder(s) and, if more than one, the number of *shares* held by each of them

**BWCI Trust Company Limited**

8. State the nature of the transaction

**Awards given under the Prudential Group Performance Share Plan and Prudential Business Unit Performance Plan, see section 9.**

9. Number of *shares*, debentures or financial instruments relating to *shares* acquired

**M Coltman**

**Prudential Group Performance Share Plan 92,261 ordinary shares**

**R Devey**

**Prudential Group Performance Share Plan 104,089 ordinary shares**

**Prudential Business Unit Performance Plan 104,089 ordinary shares**

**T Lemaire**

**- Prudential Group Performance Share Plan 68,579 American Depository Receipts (ADRs) representing 137,158 Prudential plc ordinary shares (Ratio 1 ADR equals 2 ordinary shares)**

**C P Manning**

**- Prudential Group Performance Share Plan 151,221 American Depository Receipts (ADRs) representing 302,442 Prudential plc ordinary shares (Ratio 1 ADR equals 2 ordinary shares)**

**- Prudential Business Unit Performance Plan 151,221 American Depository Receipts (ADRs) representing 302,442 Prudential plc ordinary shares (Ratio 1 ADR equals 2 ordinary shares)**

**M G A McLintock**

**- Prudential Group Performance Share Plan 66,238 ordinary shares**

**N Nicandrou**

**- Prudential Group Performance Share Plan 208,179 ordinary shares**

**B Stowe**

**- Prudential Group Performance Share Plan 64,538 American Depository Receipts (ADRs) representing 129,076 Prudential plc ordinary shares (Ratio 1 ADR equals 2 ordinary shares)**

**- Prudential Business Unit Performance Plan 64,538 American Depository Receipts (ADRs) representing 129,076 Prudential plc ordinary shares (Ratio 1 ADR equals 2 ordinary shares)**

**T Thiam**

**- Prudential Group Performance Share Plan 510,986 ordinary shares**

**P Vacassin**

- Prudential Group Performance Share Plan 142,319 ordinary shares

**S Whitehead**

- Prudential Group Performance Share Plan 109,294 ordinary shares

10. Percentage of issued *class* acquired (*treasury shares* of that *class* should not be taken into account when calculating percentage)  
**M Coltman, less than 0.004%**

**R Devey, less than 0.009%**

**T Lemaire, less than 0.006%**

**C P Manning, less than 0.03%**

**M G A McLintock, less than 0.003%**

**N Nicandrou, less than 0.009%**

**B Stowe, less than 0.02%**

**T Thiam, less than 0.03%**

**P Vacassin, less than 0.006%**

**S Whitehead, less than 0.005%**

11. Number of *shares*, debentures or financial instruments relating to *shares* disposed  
N/A

12. Percentage of issued *class* disposed (*treasury shares* of that *class* should not be taken into account when calculating percentage)  
N/A

13. Price per *share* or value of transaction  
**2010 share awards £5.2839**

**2010 ADR awards US\$15.97**

14. Date and place of transaction  
**London and New York, 1 April 2010**

Edgar Filing: PRUDENTIAL PLC - Form 6-K

15. Total holding following notification and total percentage holding following notification (any *treasury shares* should not be taken into account when calculating percentage)

**M Coltman** 92,261 ordinary shares, less than 0.004%

**R Devey** 527,281 ordinary shares, less than 0.03%

**T Lemaire** 380,202 ordinary shares, less than 0.02%

**C P Manning** 2,272,050 ordinary shares, less than 0.09%

**M G A McLintock** 801,569 ordinary shares, less than 0.04%

**N Nicandrou** 656,885 ordinary shares, less than 0.03%

**B Stowe** 1,129,320 ordinary shares, less than 0.05%

**T Thiam** 1,392,812 ordinary shares, less than 0.06%

**P Vacassin** 636,868 ordinary shares, less than 0.03%

**S Whitehead** 358,652 ordinary shares, less than 0.02%

16. Date issuer informed of transaction  
**1 April 2010**

**If a person discharging managerial responsibilities has been granted options by the issuer complete the following boxes**

17. Date of grant  
**N/A**

18. Period during which or date on which it can be exercised  
**N/A**

19. Total amount paid (if any) for grant of the option  
**N/A**

20. Description of *shares* or debentures involved (*class* and number)  
**N/A**

21. Exercise price (if fixed at time of grant) or indication that price is to be fixed at the time of exercise  
**N/A**

22. Total number of *shares* or debentures over which options held following notification  
**N/A**

23. Any additional information

**N/A**

24. Name of contact and telephone number for queries

**Penny Follows, Shareholder Services and Share Plans Manager, 020 7548 3821**



Name of duly authorised officer of *issuer* responsible for making notification

**Clive Burns, Head of Secretariat, 020 7548 3805**

Date of notification

**1 April 2010**

**Prudential plc is not affiliated in any manner with Prudential Financial Inc, a company whose principal place of business is in the United States of America**

**Notes:** This form is intended for use by an *issuer* to make an *RIS* notification required by *DR* 3.3.

- (1) An *issuer* making a notification in respect of a transaction relating to the *shares* or debentures of the *issuer* should complete boxes 1 to 16, 23 and 24.
- (2) An *issuer* making a notification in respect of a derivative relating the *shares* of the *issuer* should complete boxes 1 to 4, 6, 8,13, 14, 16, 23 and 24.
- (3) An *issuer* making a notification in respect of options granted to a *director/person discharging managerial responsibilities* should complete boxes 1 to 3 and 17 to 24.
- (4) An *issuer* making a notification in respect of a *financial instrument* relating to the *shares* of the *issuer* (other than a debenture) should complete boxes 1 to 4, 6, 8, 9, 11, 13, 14, 16, 23 and 24.

END

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: April 6, 2010

PRUDENTIAL PUBLIC LIMITED COMPANY

By: */s/* PENNY FOLLOWS  
**Penny Follows**  
**Shareholder Services and Share Plans manager**