

TENNECO INC
Form 3
November 03, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Guo Peng | | (Month/Day/Year) | TENNECO INC [TEN] | |
| (Last) | (First) | (Middle) | 10/23/2014 | |
| 500 N. FIELD DRIVE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| LAKE FORESR, IL 60045 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Sr VP & Gen'l Mgr-Asia Pacific | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 114,799 | D | ^ |
| Common Stock | 728 ⁽¹⁾ | D | ^ |
| Common Stock | 1,714 ⁽²⁾ | D | ^ |
| Common Stock | 1,941 ⁽³⁾ | D | ^ |
| Common Stock | 62,706 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Employee Stock Options (Right to Acquire) | Â <u>(4)</u> | 01/14/2015 | Common Stock | 1,250 | \$ 23.75 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(4)</u> | 07/14/2015 | Common Stock | 226 | \$ 12.3 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(4)</u> | 01/21/2016 | Common Stock | 3,120 | \$ 1.99 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(4)</u> | 01/14/2017 | Common Stock | 1,563 | \$ 19.48 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(4)</u> | 03/31/2017 | Common Stock | 1,623 | \$ 24.27 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(4)</u> | 01/18/2018 | Common Stock | 1,650 | \$ 45.42 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(4)</u> | 07/19/2018 | Common Stock | 373 | \$ 43.2 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(5)</u> | 01/17/2019 | Common Stock | 3,535 | \$ 29.83 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(6)</u> | 01/15/2020 | Common Stock | 4,434 | \$ 36.29 | D | Â |
| Employee Stock Options (Right to Acquire) | Â <u>(7)</u> | 01/14/2021 | Common Stock | 3,321 | \$ 56.23 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Guo Peng 500 N. FIELD DRIVE LAKE FORESR, IL 60045 | Â | Â | Â Sr VP & Gen'l Mgr-Asia Pacific | Â |

Signatures

/s/James D. Harrington,
Attorney-in-Fact

11/03/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects grant of restricted stock to the Reporting Person pursuant to Rule 16b-3, one third of which vest on each of the first three anniversaries of the grant date. The restricted stock reflected above becomes vested on January 18, 2015.
Reflects grant of restricted stock to the Reporting Person pursuant to Rule 16b-3, one third of which vest on each of the first three anniversaries of the grant date. The restricted stock reflected above becomes vested in equal installments on January 16, 2015 and January 16, 2016.
- (3) Reflects grant of restricted stock to the Reporting Person pursuant to Rule 16b-3, one third of which vest on each of the first three anniversaries of the grant date. The restricted stock reflected above becomes vested in equal installments on January 15, 2015, January 15, 2016 and January 15, 2017.
- (4) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, one third of which vested on each of the first three anniversaries of the grant date. All of the stock options have become vested.
Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, one third of which vest on each of the first three anniversaries of the grant date. The first installment vested on January 18, 2013, the second installment vested on January 18, 2014 and the third installment becomes vested on January 18, 2015.
Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, one third of which vest on each of the first three anniversaries of the grant date. The first installment vested on January 16, 2014, the second installment becomes vested on January 16, 2015 and the third installment becomes vested on January 16, 2016.
- (6) Reflects stock options granted to the Reporting Person pursuant to Rule 16b-3, one third of which vest on each of the first three anniversaries of the grant date. The first installment becomes vested on January 15, 2015, the second installment becomes vested on January 15, 2016 and the third installment becomes vested on January 15, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.