Emmett Dan A Form 4 January 08, 2013

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

Common

Stock

1. Name and Address of Reporting Person \* Emmett Dan A

> (First) (Middle)

808 WILSHIRE **BOULEVARD, SUITE 200** 

(Street)

(State)

01/04/2013

2. Issuer Name and Ticker or Trading Symbol

Douglas Emmett Inc [DEI] 3. Date of Earliest Transaction

(Month/Day/Year) 01/04/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

Code V

C

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

\_X\_\_ Director X 10% Owner X\_ Officer (give title \_ Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

SANTA MONICA, CA 90401

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

(Zip)

3. 4. Securities Acquired (A) 5. Amount of Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

Amount

1,200,000

(1)

or

(D)

Price

(2)

Securities Beneficially Owned Following Reported Transaction(s)

4,415,257

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Ownership Form: Direct (D) or Indirect (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

(Instr. 3 and 4)

See  $I^{(3)}$ Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

3.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Emmett Dan A - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of torDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Partnership Common Units	\$ 0 (4)	01/04/2013		C		1,200,000	(2)	(2)	Common Stock	1,200,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Emmett Dan A 808 WILSHIRE BOULEVARD SUITE 200 SANTA MONICA, CA 90401	X	X	Chairman of the Board				

# **Signatures**

/s/ Dan A.
Emmett

\*\*Signature of Reporting Person

O1/08/2013

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Common stock of Issuer acquired by the Dan A. Emmett Revocable Living Trust (the "Trust") upon redemption of partnership common units ("OP Units") of Douglas Emmett Properties, L.P., a Delaware limited partnership, whose general partner is wholly owned by Issuer. OP Units are redeemable, without consideration, by the holder for an equivalent number of shares of common stock or for the cash value of such shares, at Issuer's election.
- (2) Not applicable.
  - Includes: (i) 3,547,507 shares held by the Trust, (ii) 480,000 shares held by Rivermouth Partnership, LP, a California limited partnership ("Rivermouth"), (iii) 315,750 shares held by the Emmett Foundation, a CA charitable organization (the "Foundation"), and (iv) 72,000
- (3) shares held by trusts f/b/o the Reporting Person's children (the "Children's Trusts"). The Reporting Person has investment and voting power over shares held by Rivermouth, the Foundation and the Children's Trusts but disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (4) OP Units are redeemable, without consideration, by the holder for an equivalent number of shares of Issuer's common stock or for the cash value of such shares, at Issuer's election.
  - Beneficial ownership of OP Units: (i) 7,139,061 OP Units held by the Trust and a community property trust, (ii) 1,537,288 OP Units held by Rivermouth, and (iii) 810,126 OP Units held by trusts f/b/o Reporting Person's spouse and children ("Family Trusts"). The Reporting
- Person disclaims OP Units held by Rivermouth and the Family Trusts except to the extent of his pecuniary interest therein. Reporting Person also holds (i) 14,420 LTIP Units some of which are subject to vesting, and (ii) 274,355 vested stock options. Upon the occurrence of certain events, OP Units and LTIP Units are redeemable, without consideration, by holder for an equivalent number of shares of common stock of Issuer or the cash value of such shares, at Issuer's election.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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