Birkans Aldis Form 3 September 19, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Birkans Aldis

(Last)

(First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

09/19/2012

4. Relationship of Reporting

Director

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

5570 DTC PARKWAY

(Street)

(Check all applicable)

10% Owner Other

National Bank Holdings Corp [NBHC]

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

\_X\_\_ Officer (give title below) (specify below) Treasurer

Form filed by More than One

Reporting Person

#### **GREENWOOD** VILLAGE, Â COÂ 80111

1. Title of Security

(City)

(Instr. 4)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Ownership

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion or Exercise Price of

Ownership Form of Derivative Security:

(Instr. 5)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date

Exercisable Date

Amount or Title Number of Shares

Derivative Security Direct (D) or Indirect (I)

1

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Employee Stock Option (Right to Buy)	(1)	08/02/2021	Common Stock	20,000	\$ 20	D	Â
Employee Stock Option (Right to Buy)	(2)	10/11/2018	Common Stock	15,000	\$ 20	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Topotting of the Control of the Cont	Director	10% Owner	Officer	Other		
Birkans Aldis	^	^	^	^		
5570 DTC PARKWAY	A	A	Treasurer	Α		
GREENWOOD VILLAGE Â COÂ 80111						

## **Signatures**

/s/ Mark W. Yonkman, as attorney-in-fact

09/19/2012

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted under the 2009 National Bank Holdings Corporation Equity Incentive Plan. Stock award is time-vested with half vesting on August 2, 2013 and half on August 2, 2014.
- Granted under the 2009 National Bank Holdings Corporation Equity Incentive Plan. Subject to the issuer's common stock being listed on a public exchange, the stock option award is time vested and is scheduled to vest in equal installments on each of the first, second and third anniversaries of the date of grant, which grant occurred on October, 11, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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