Varvaro Thomas C Form 4 May 24, 2010

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

**OMB APPROVAL** 

OMB Number:

3235-0287 January 31,

Expires:

2005

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response... 0.5

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Varvaro Thomas C

2. Issuer Name and Ticker or Trading

Symbol

ChromaDex Corp. [CDXC.OB]

3. Date of Earliest Transaction

(First) (Middle) (Last)

(Month/Day/Year)

10005 MUIRLANDS BVLD, SUITE 05/20/2010 G

(Street)

(State)

(Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) Chief Finanical Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**IRVINE, CA 92618** 

(City)

Security

(Instr. 3)

1. Title of 2. Transaction Date 2A. Deemed

Execution Date, if

(Zip)

3. Code (Month/Day/Year) (Instr. 8)

4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amou Underlying Securi (Instr. 3 and 4)

### Edgar Filing: Varvaro Thomas C - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Disp	uired (A) or bosed of (D) tr. 3, 4, and				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Num Shar
Employee Stock Options (right to buy)	\$ 1.545	05/20/2010		A		614,250	05/20/2010(1)	05/20/2020	Common Stock	614
Employee Stock Options (right to buy)	\$ 1.545	05/20/2010		A		614,250	05/20/2010(2)	05/20/2020	Common Stock	614
Employee Stock Options (right to buy)	\$ 1.545	05/20/2010		A		200,000	05/20/2010(1)	05/20/2020	Common Stock	200
Employee Stock Options (right to buy)	\$ 1.545	05/20/2010		A		200,000	05/20/2010(2)	05/20/2020	Common Stock	200
Employee Stock Options (right to buy)1	\$ 1.545	05/20/2010		A		75,000	05/20/2010(3)	05/20/2010	Common Stock	75

# **Reporting Owners**

Reporting Owner Name / Address	Ketationships			
	Dimastan	1007 Oxxinan	Officer	

Director 10% Owner Officer Other

Chief Finanical Officer

Deletionships

Varvaro Thomas C

10005 MUIRLANDS BVLD

SUITE G

IRVINE, CA 92618

## **Signatures**

Thomas C. Varvaro 05/24/2010

\*\*Signature of Date Reporting Person

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 33 1/3% over three years beginning on May 20, 2011
  - Options vest 33 1/3% over three years beginning on May 20, 2011; provided further that, these options are only exercisable based on the percentage of warrants exercised as of such vesting date that were issued under that certian Subscription Agreement, dated as of April 22,
- (2) 2010, between the Issuer and the subscribers named therein. Specifically, if 25% to 49.9% of such warrant have been exercised, then 25% of these options are exercisable; if 50% to 74.9% of such warrant have been exercised, then 50% of these options are exercisable; and if 75% or more of such warrants have been exercised, then 100% of these options are exercisable
- Options vest over four years with cliff vesting of 25% on May 20, 2011 and 1/36 of the total vesting per month over the remaining three vears

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.