Edgar Filing: NYSE Group, Inc. - Form 4

NYSE Group, Inc. Form 4 February 09, 2007 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).											3235-0287 January 31, 2005 average	
(Print or Type F	(esponses)											
Putnam Gerald D Symbol						Ticker or		ng	5. Relationship of Reporting Person(s) to ssuer (Check all applicable)			
(Last)	(First) (M							(Check all applicable)				
C/O NYSE GROUP, INC., 11 (Month/D WALL STREET (20/07/20)				-					Director 10% Owner X_ Officer (give title Other (specify below) President and Co-COO			
Filed(Mon				th/Day/Year) Applicable Line) _X_Form filed b					Applicable Line) _X_ Form filed by C	oint/Group Filing(Check One Reporting Person More than One Reporting		
(City)	K, NY 10005 (State) (2	Zip)							Person			
					n-D			_	uired, Disposed of		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transa Code (Instr.		4. Securi n(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code	V	Amount	(D)	Price	(instr. 5 and 1)			
Stock, par value \$0.01 per share	02/07/2007			S		100 (1)	D	\$ 96.93	780,307	I	See Footnote (2)	
Common Stock, par value \$0.01 per share	02/07/2007			S		400 <u>(1)</u>	D	\$ 96.96	779,907	Ι	See Footnote (2)	
Common Stock, par value \$0.01 per share	02/07/2007			S		100 <u>(1)</u>	D	\$ 96.99	779,807	Ι	See Footnote (2)	

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Common Stock, par value \$0.01 per share	02/07/2007	S	200 <u>(1)</u> D	\$ 96.98	779,607	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 96.92	779,507	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.91	779,407	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.76	779,307	Ι	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	200 <u>(1)</u> D	\$ 97.66	779,107	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.67	779,007	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.87	778,907	Ι	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.83	778,807	Ι	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.8	778,707	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	300 <u>(1)</u> D	\$ 97.71	778,407	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.75	778,307	Ι	See Footnote
	02/07/2007	S	100 <u>(1)</u> D		778,207	Ι	

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Common Stock, par value \$0.01 per share				\$ 97.82			See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.62	778,107	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.53	778,007	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.5	777,907	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.16	777,807	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	400 <u>(1)</u> D	\$ 97.12	777,407	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	550 <u>(1)</u> D	\$ 97.15	776,857	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	300 <u>(1)</u> D	\$ 97.26	776,557	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.39	776,457	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	400 <u>(1)</u> D	\$ 97.4	776,057	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	300 <u>(1)</u> D	\$ 97.25	775,757	Ι	See Footnote (2)
	02/07/2007	S	100 <u>(1)</u> D		775,657	Ι	

Common Stock, par value \$0.01 per share				\$ 97.48			See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.45	775,557	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	200 <u>(1)</u> D	\$ 97.35	775,357	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	600 <u>(1)</u> D	\$ 97.3	774,757	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	400 <u>(1)</u> D	\$ 97.27	774,357	Ι	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Putnam Gerald D C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005			President and Co-COO					
Signatures								
Cornelius M. Courtney under H 4/27/2006	POA dated	1	02/09/2007					
<u>**</u> Signature of Reporting Po	erson		Date					
Explanation of Re	enon	606.						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by Leiscester Enterprises LLC.
- (2) Mr. Putnam owns a controlling interest in Leiscester Enterprises LLC.

Remarks:

This is report 1 of 3 for transactions effected on February 7, 2007.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to comp

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.