Edgar Filing: APPLIED MATERIALS INC /DE - Form 3

APPLIED MATERIALS INC/DE

Form 3 April 04, 2005

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Statement

required to respond unless the form displays a

currently valid OMB control number.

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

Person *

1. Name and Address of Reporting

APPLIED MATERIALS INC /DE [AMAT] Sinha Ashok K (Month/Day/Year) 03/23/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O APPLIED MATERIALS. (Check all applicable) INC., P.O.BOX 58039, 3050 BOWERS AV, M/S 2023 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Senior Vice President _X_ Form filed by One Reporting Person SANTA CLARA. CAÂ 95054 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 26,884 D Common Stock 1.828 Ι As custodian for son Common Stock 370 I As custodian for daughter I Common Stock 16,153.94 By 401(k) plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: APPLIED MATERIALS INC /DE - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exerci Expiration Dat (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Expiration Exercisable Date	•	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	07/15/2003	09/10/2006	Common Stock	280,000	\$ 19.6875	D	Â
Employee Stock Option (Right to Buy)	07/15/2004	10/18/2007	Common Stock	140,000	\$ 21.0313	D	Â
Employee Stock Option (Right to Buy)	07/15/2005	04/03/2008	Common Stock	140,000	\$ 20.0625	D	Â
Employee Stock Option (Right to Buy)	07/15/2006	10/19/2008	Common Stock	140,000	\$ 16.275	D	Â
Employee Stock Option (Right to Buy)	(1)	11/27/2008	Common Stock	140,000	\$ 20.985	D	Â
Employee Stock Option (Right to Buy)	07/15/2007	11/19/2009	Common Stock	140,000	\$ 15.07	D	Â
Employee Stock Option (Right to Buy)	(2)	12/11/2009	Common Stock	70,000	\$ 14.57	D	Â
Employee Stock Option (Right to Buy)	07/15/2007	10/29/2010	Common Stock	60,000	\$ 22.58	D	Â
Employee Stock Option (Right to Buy)	07/15/2008	10/29/2010	Common Stock	200,000	\$ 22.58	D	Â
Employee Stock Option (Right to Buy)	(3)	11/03/2011	Common Stock	125,000	\$ 16.12	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Sinha Ashok K						
C/O APPLIED MATERIALS, INC.	â	â	Senior Vice President	â		
P.O.BOX 58039, 3050 BOWERS AV, M/S 2023	A	A	A Semor vice President	Α		
SANTA CLARA, CA 95054						

Signatures

/s/ Charmaine Mesina, Attorney-In-Fact 04/04/2005

**Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: APPLIED MATERIALS INC /DE - Form 3

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 70,000 shares became exercisable each on 7/15/03 and 7/15/04.
- (2) 35,000 shares become exercisable each on 7/15/05 and 7/15/06 (assuming continued employment).
- (3) 31,250 shares become exercisable each on 7/15/05, 7/15/06, 7/15/07 and 07/15/08 (assuming continued employment).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.