Fraser Christopher T. Form 4 October 24, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Fraser Christopher T.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Symbol KMG CHEMICALS INC [KMG]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 10/22/2018

\_X\_\_ Director 10% Owner Other (specify

(Check all applicable)

X\_ Officer (give title below) below) President and CEO

(Street)

9448 BELLA TERRA DRIVE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FT WORTH, TX 76126

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (T) (Instr. 4)

Ownership (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. TransactionNumber Code of

6. Date Exercisable and Expiration (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

#### Edgar Filing: Fraser Christopher T. - Form 4

| (Instr. 3)                       | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. | 8) | Deriv<br>Secur<br>Acqu<br>(A) o<br>Dispo<br>of (D<br>(Instr<br>4, and | rities<br>aired<br>or<br>osed<br>O)<br>r. 3, |                  |                 |                 |                                     |
|----------------------------------|------------------------------------|------------|------------------|---------|----|---|--|------------------|-----------------|-----------------|-------------------------------------|
| Tr'                              |                                    |            |                  | Code    | V  | (A)   | (D)  | Date Exercisable | Expiration Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Time Based Stock Award, Series 5 | \$ 0                               | 10/22/2018 |                  | A       |    | 1   |  | 07/31/2021(1)    | 07/31/2021(1)   | Common<br>Stock | 20,728<br>(1)                       |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                   |       |  |  |  |  |
|---|---------------|-----------|-------------------|-------|--|--|--|--|
| • 0   | Director      | 10% Owner | Officer           | Other |  |  |  |  |
| Fraser Christopher T.<br>9448 BELLA TERRA DRIVE<br>FT WORTH, TX 76126 | X             |           | President and CEO |       |  |  |  |  |
|   |               |           |                   |       |  |  |  |  |

## **Signatures**

Roger C Jackson POA from Christopher T
Fraser 10/24/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of shares at target. Vesting of time based award is in installments of 33.33% on 7/31/2019, 2020 and 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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