K12 INC Form 4 January 05, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* FINK STEVEN B

(First)

2300 CORPORATE PARK DRIVE

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

01/03/2017

X\_ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

below)

(Street)

4. If Amendment, Date Original

K12 INC [LRN]

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

HERNDON, VA 20171

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

(1)

5. Amount of Securities Beneficially (D) or Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported (A) Transaction(s)

Code V Amount (D) Price

Common 5,714 01/03/2017 A \$0

(Instr. 3 and 4)

131,315

S&C Fink I Living Trst

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: K12 INC - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exercisable | and 7. | Title and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------------|--------|----------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | onNumber   | Expiration Date     | A      | mount of       | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/Year)    | U      | nderlying      | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e                   | Se     | ecurities      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |                     | (In    | nstr. 3 and 4) |             | Own    |
|             | Security    |                     |                    |             | Acquired   |                     |        |                |             | Follo  |
|             | ·           |                     |                    |             | (A) or     |                     |        |                |             | Repo   |
|             |             |                     |                    |             | Disposed   |                     |        |                |             | Trans  |
|             |             |                     |                    |             | of (D)     |                     |        |                |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |                     |        |                |             |        |
|             |             |                     |                    |             | 4, and 5)  |                     |        |                |             |        |
|             |             |                     |                    | C-1- V      | (A) (D)    | Data Essi           | 4: Т:  | :41-           |             |        |
|             |             |                     |                    | Code V      | (A) (D)    | *                   |        | itle Amount    |             |        |
|             |             |                     |                    |             |            | Exercisable Date    |        | or             |             |        |
|             |             |                     |                    |             |            |                     |        | Number         |             |        |
|             |             |                     |                    |             |            |                     |        | of             |             |        |
|             |             |                     |                    |             |            |                     |        | Shares         |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FINK STEVEN B
2300 CORPORATE PARK DRIVE X
HERNDON, VA 20171

## **Signatures**

/s/ John C. Grothaus, attorney-in-fact 01/05/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are restricted and will vest on January 3, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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